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## Reverse dialectometry Geography as a probe into linguistic theory

Jeroen van Craenenbroeck

KU Leuven/CRISSP

Maps and Grammar September 17–18, 2014

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## Introduction: verbs, word order, and linguistic theory

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• in Dutch (like in many Germanic languages) verbs tend to group together at the right edge of the (embedded) clause:

(1) dat hij gisteren tijdens de les gelachen heeft. that he yesterday during the class laughed has 'that he laughed yesterday during class.'

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- (1) dat hij gisteren tijdens de les gelachen heeft. that he yesterday during the class laughed has 'that he laughed yesterday during class.'
  - moreover, such verbal clusters typically show a certain degree of freedom in their word order:

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- in Dutch (like in many Germanic languages) verbs tend to group together at the right edge of the (embedded) clause:
- (1) dat hij gisteren tijdens de les gelachen heeft.
   that he yesterday during the class laughed has 'that he laughed yesterday during class.'
  - moreover, such verbal clusters typically show a certain degree of freedom in their word order:

(2) dat hij gisteren tijdens de les heeft gelachen. that he yesterday during the class had laughed 'that he laughed yesterday during class.'

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   that he yesterday during the class laughed has
   'that he laughed yesterday during class.'
  - moreover, such verbal clusters typically show a certain degree of freedom in their word order:

(21)

(12)

(2) dat hij gisteren tijdens de les heeft gelachen.
 that he yesterday during the class had laughed
 'that he laughed yesterday during class.'

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### (3) Ferwerd Dutch

a. dasto it ook net zien meist. that.you it also not see may 'that you're also not allowed to see it.' (√21)
b. \*dasto it ook net meist zien. that.you it also not may see 'that you're also not allowed to see it.' (\*12)

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### (4) Gendringen Dutch

a. dat ee et ook nie zien mag. that you it also not see may 'that you're also not allowed to see it.' (√21)
b. dat ee et ook nie mag zien. that you it also not may see 'that you're also not allowed to see it.' (√12)

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#### (5) **Poelkapelle Dutch**

- a. \*dajtgie ook nie zien meug. that.it.you also not see may 'that you're also not allowed to see it.' (\*21)
  b. dajtgie ook nie meug zien.
- b. dajtgie ook nie meug zien. that.it.you also not may see 'that you're also not allowed to see it.'  $(\sqrt{12})$

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 and the more complex the verbal cluster, the more variation there is: in verbal clusters consisting of two modal auxiliaries and one main verb, out of the six orders that are theoretically possible, four are attested in Dutch dialects:

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• and the more complex the verbal cluster, the more variation there is: in verbal clusters consisting of two modal auxiliaries and one main verb, out of the six orders that are theoretically possible, four are attested in Dutch dialects:

(123)

(6) Ik vind dat iedereen moet kunnen zwemmen.I find that everyone must can swim'I think everyone should be able to swim.'

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- and the more complex the verbal cluster, the more variation there is: in verbal clusters consisting of two modal auxiliaries and one main verb, out of the six orders that are theoretically possible, four are attested in Dutch dialects:
- (6) Ik vind dat iedereen moet kunnen zwemmen.
  I find that everyone must can swim
  'I think everyone should be able to swim.' (123)
- (132)(7)Ik vind dat iedereen moet zwemmen kunnen. а (312)h Ik vind dat iedereen zwemmen moet kunnen. (321) Ik vind dat iedereen zwemmen kunnen moet. C \*lk vind dat iedereen kunnen zwemmen moet. (231)Ч \*Ik vind dat iedereen kunnen moet zwemmen. (213) e

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• but once again, it is not the case that each of the four allowed orders is attested in all dialects:

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- but once again, it is not the case that each of the four allowed orders is attested in all dialects:
- (8) Midsland Dutch
  - a. \*dat elkeen mot kanne zwemme.
    that everyone must can swim
    'that everyone should be able to swim.' (\*12.
  - b. dat elkeen mot zwemme kanne.
  - c. \*dat elkeen zwemme mot kanne.
  - d. dat elkeen zwemme kanne mot.
  - e. \*dat elkeen kanne zwemme mot.
  - f. \*dat elkeen kanne mot zwemme.

- but once again, it is not the case that each of the four allowed orders is attested in all dialects:
- (9) Langelo Dutch
  - a. dat iedereen moet kunnen zwemmen.
    that everyone must can swim
    'that everyone should be able to swim.'
  - b. \*dat iedereen mot zwemmen kunnen.
  - c. dat iedereen zwemmen mot kunnen.
  - d. \*dat iedereen zwemmen kunnen mot.
  - e. \*dat iedereen kunnen zwemmen mot.
  - f. \*dat iedereen kunnen mot zwemmen.

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 more generally, the four possible cluster orders yield a total of 16 possible combinations, of which 12 are attested in Dutch dialects:

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 more generally, the four possible cluster orders yield a total of 16 possible combinations, of which 12 are attested in Dutch dialects:

| example dialect | 123          | 132          | 321          | 312          |
|-----------------|--------------|--------------|--------------|--------------|
| Beetgum         | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ |
| Hippolytushoef  | $\checkmark$ | $\checkmark$ | $\checkmark$ | *            |
| Warffum         | $\checkmark$ | $\checkmark$ | *            | *            |
| Oosterend       | $\checkmark$ | *            | *            | *            |
| Schermerhorn    | $\checkmark$ | $\checkmark$ | *            | $\checkmark$ |
| Visvliet        | $\checkmark$ | *            | $\checkmark$ | $\checkmark$ |
| Kollum          | $\checkmark$ | *            | $\checkmark$ | *            |
| Langelo         | $\checkmark$ | *            | *            | $\checkmark$ |
| Midsland        | *            | $\checkmark$ | $\checkmark$ | *            |
| Lies            | *            | *            | $\checkmark$ | *            |
| Bakkeveen       | *            | *            | $\checkmark$ | $\checkmark$ |
| Waskemeer       | *            | $\checkmark$ | *            | *            |

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• in order to get a more complete picture of the variation, we can look at the results from the SAND-project:

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• Syntactic Atlas of the Dutch Dialects (2000–2004)

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- in order to get a more complete picture of the variation, we can look at the results from the SAND-project:
  - Syntactic Atlas of the Dutch Dialects (2000–2004)
  - dialect interviews in 267 dialect locations in Belgium, France, and the Netherlands

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• the SAND-questionnaire contained eight questions on word order in verb clusters for a total of 31 cluster orders

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- the SAND-questionnaire contained eight questions on word order in verb clusters for a total of 31 cluster orders
- if we map, for each of the 267 SAND-dialects, which dialect has which combination of cluster orders, we find 137 different combinations of verb cluster orders

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- the SAND-questionnaire contained eight questions on word order in verb clusters for a total of 31 cluster orders
- if we map, for each of the 267 SAND-dialects, which dialect has which combination of cluster orders, we find 137 different combinations of verb cluster orders
- in other words, there are 137 different types of dialects when it comes to word order in verbal clusters

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• **question:** how can we make sense of this massive variation from the point of view of theoretical linguistics?



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- **question:** how can we make sense of this massive variation from the point of view of theoretical linguistics?
- e.g. Principles & Parameters: natural language is the result of the interplay between:

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- e.g. Principles & Parameters: natural language is the result of the interplay between:
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  - 2. Parameters: simple, often binary choices ('switches') which are responsible for interlinguistic differences, and which determine the space of variation in natural language

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- so:
  - what are the parameters of word order variation in verb clusters?

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  - 2. Parameters: simple, often binary choices ('switches') which are responsible for interlinguistic differences, and which determine the space of variation in natural language
- so:
  - what are the parameters of word order variation in verb clusters?
  - is this variation even parameter-related? how much noise is there in these data? is some of the variation extra-grammatical (cf. Barbiers (2005))?

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- **question:** how can we make sense of this massive variation from the point of view of theoretical linguistics?
- e.g. Principles & Parameters: natural language is the result of the interplay between:
  - 1. Principles: innate properties that are invariant across all languages
  - 2. Parameters: simple, often binary choices ('switches') which are responsible for interlinguistic differences, and which determine the space of variation in natural language
- so:
  - what are the parameters of word order variation in verb clusters?
  - is this variation even parameter-related? how much noise is there in these data? is some of the variation extra-grammatical (cf. Barbiers (2005))?
  - related methodological question: how do we go about finding those parameters?

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• in this talk I argue that a quantitative-statistical analysis of the data enriched with insights from formal-theoretical linguistics can separate the wheat from the chaff

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- **in this talk** I argue that a quantitative-statistical analysis of the data enriched with insights from formal-theoretical linguistics can separate the wheat from the chaff
- more specifically, I will argue that roughly 80% of the variation found in Dutch verb cluster orders can be reduced to three grammatical parameters

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# Dialect variation and quantitative methods: dialectometry


| Introduction | Dialectometry | Reverse dialectometry | Conclusion | References |
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#### Dialect variation and quantitative methods: dialectometry

• **dialectometry** is a subdiscipline of linguistics that uses computational and quantitative techniques in dialectology (Nerbonne and Kretzschmar Jr., 2013)

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# Dialect variation and quantitative methods: dialectometry

- **dialectometry** is a subdiscipline of linguistics that uses computational and quantitative techniques in dialectology (Nerbonne and Kretzschmar Jr., 2013)
- in a typical dialectometric analysis locations are used as individuals and linguistic phenomena as variables → we're measuring similarities and differences between dialect locations based on their linguistic profile

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# Dialect variation and quantitative methods: dialectometry

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• often used method: Multidimensional Scaling (MDS)

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## Dialect variation and quantitative methods: dialectometry

- **dialectometry** is a subdiscipline of linguistics that uses computational and quantitative techniques in dialectology (Nerbonne and Kretzschmar Jr., 2013)
- in a typical dialectometric analysis locations are used as individuals and linguistic phenomena as variables → we're measuring similarities and differences between dialect locations based on their linguistic profile
- often used method: Multidimensional Scaling (MDS)
- starting point: data table with dialects in rows and cluster orders in columns

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|                   | AUX1(be.sg)-PART2 | PART2-AUX1(be.sg) | AUX1(have.sg)-PART2 | PART2-AUX1(have.sg) | AUX1(have.pl)-PA |
|-------------------|-------------------|-------------------|---------------------|---------------------|------------------|
| Midsland / Midslâ | r no              | yes               | no                  | yes                 |                  |
| Lies              | no                | yes               | no                  | yes                 |                  |
| West-Terschelling | no                | yes               | no                  | yes                 |                  |
| Oosterend         | NA                | NA                | no                  | yes                 |                  |
| Hollum            | no                | yes               | NA                  | NA                  |                  |
| Schiermonnikoog   | no                | yes               | no                  | yes                 |                  |
| Ferwerd / Ferwert | no no             | yes               | no                  | yes                 |                  |
| Anjum / Eanjum    | no                | yes               | no                  | yes                 |                  |
| Kollum            | no                | yes               | no                  | yes                 |                  |
| Visvliet          | no                | yes               | no                  | yes                 |                  |
| Oosterbierum / E  | no                | yes               | no                  | yes                 |                  |
| Beetgum / Bitgun  | no                | yes               | NA                  | NA                  |                  |
| Bergum / Burgum   | n no              | yes               | no                  | yes                 |                  |
| Jorwerd / Jorwert | no                | yes               | NA                  | NA                  |                  |
| Bakkeveen / Bakk  | c no              | yes               | no                  | yes                 |                  |
| Waskemeer / De    | no                | yes               | no                  | yes                 |                  |
| Kloosterburen     | no                | yes               | no                  | yes                 |                  |
| Warffum           | no                | yes               | no                  | yes                 |                  |
| Leermens          | no                | yes               | no                  | yes                 |                  |
| Groningen         | no                | yes               | yes                 | no                  |                  |
| Nieuw-Scheemda    | NA                | NA                | no                  | yes                 |                  |
| Langelo           | no                | yes               | no                  | yes                 |                  |
|                   |                   |                   |                     |                     |                  |

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 step 1: convert the data table into a 267×267 (symmetric) distance matrix, whereby for each pair of locations a distance between them is calculated based on the linguistic features they share

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|                | Midsland | Lies  | West-Ter | Oosteren | Hollum | Schiermo | Ferwerd | Anjum / | Kollum | Visvliet | Oosterbie | Beetgum | Bergum | Jorwerd |
|----------------|----------|-------|----------|----------|--------|----------|---------|---------|--------|----------|-----------|---------|--------|---------|
| Midsland / Mi  | 0,000    | 0,500 | 0,333    | 0,706    | 0,250  | 0,647    | 0,357   | 0,250   | 0,611  | 0,650    | 0,533     | 0,545   | 0,500  | 0,692   |
| Lies           | 0,500    | 0,000 | 0,444    | 0,750    | 0,588  | 0,375    | 0,471   | 0,563   | 0,444  | 0,444    | 0,632     | 0,714   | 0,500  | 0,667   |
| West-Terschel  | 0,333    | 0,444 | 0,000    | 0,789    | 0,429  | 0,667    | 0,286   | 0,429   | 0,632  | 0,600    | 0,500     | 0,500   | 0,429  | 0,583   |
| Oosterend      | 0,706    | 0,750 | 0,789    | 0,000    | 0,706  | 0,765    | 0,737   | 0,538   | 0,563  | 0,600    | 0,600     | 0,727   | 0,813  | 0,846   |
| Hollum         | 0,250    | 0,588 | 0,429    | 0,706    | 0,000  | 0,667    | 0,167   | 0,000   | 0,625  | 0,714    | 0,462     | 0,500   | 0,500  | 0,545   |
| Schiermonnik   | 0,647    | 0,375 | 0,667    | 0,765    | 0,667  | 0,000    | 0,625   | 0,667   | 0,400  | 0,556    | 0,706     | 0,750   | 0,571  | 0,667   |
| Ferwerd / Fer  | 0,357    | 0,471 | 0,286    | 0,737    | 0,167  | 0,625    | 0,000   | 0,182   | 0,588  | 0,682    | 0,308     | 0,333   | 0,333  | 0,400   |
| Anjum / Eanji  | 0,250    | 0,563 | 0,429    | 0,538    | 0,000  | 0,667    | 0,182   | 0,000   | 0,571  | 0,625    | 0,417     | 0,556   | 0,500  | 0,600   |
| Kollum         | 0,611    | 0,444 | 0,632    | 0,563    | 0,625  | 0,400    | 0,588   | 0,571   | 0,000  | 0,353    | 0,625     | 0,643   | 0,429  | 0,571   |
| Visvliet       | 0,650    | 0,444 | 0,600    | 0,600    | 0,714  | 0,556    | 0,682   | 0,625   | 0,353  | 0,000    | 0,588     | 0,500   | 0,667  | 0,692   |
| Oosterbierum   | 0,533    | 0,632 | 0,500    | 0,600    | 0,462  | 0,706    | 0,308   | 0,417   | 0,625  | 0,588    | 0,000     | 0,167   | 0,571  | 0,500   |
| Beetgum / Bit  | 0,545    | 0,714 | 0,500    | 0,727    | 0,500  | 0,750    | 0,333   | 0,556   | 0,643  | 0,500    | 0,167     | 0,000   | 0,500  | 0,455   |
| Bergum / Bur   | 0,500    | 0,500 | 0,429    | 0,813    | 0,500  | 0,571    | 0,333   | 0,500   | 0,429  | 0,667    | 0,571     | 0,500   | 0,000  | 0,222   |
| Jorwerd / Jorv | 0,692    | 0,667 | 0,583    | 0,846    | 0,545  | 0,667    | 0,400   | 0,600   | 0,571  | 0,692    | 0,500     | 0,455   | 0,222  | 0,000   |
| Bakkeveen / I  | 0,400    | 0,500 | 0,438    | 0,706    | 0,385  | 0,563    | 0,357   | 0,385   | 0,438  | 0,579    | 0,533     | 0,545   | 0,385  | 0,583   |
| Waskemeer /    | 0,438    | 0,526 | 0,556    | 0,818    | 0,500  | 0,588    | 0,471   | 0,533   | 0,471  | 0,652    | 0,588     | 0,667   | 0,429  | 0,500   |
| Kloosterburer  | 0,500    | 0,412 | 0,611    | 0,810    | 0,563  | 0,357    | 0,529   | 0,600   | 0,333  | 0,636    | 0,706     | 0,667   | 0,385  | 0,583   |
| Warffum        | 0,563    | 0,438 | 0,667    | 0,737    | 0,625  | 0,429    | 0,588   | 0,643   | 0,400  | 0,652    | 0,600     | 0,636   | 0,571  | 0,750   |
| Leermens       | 0,667    | 0,652 | 0,739    | 0,550    | 0,773  | 0,650    | 0,739   | 0,722   | 0,389  | 0,455    | 0,667     | 0,571   | 0,684  | 0,765   |
| Groningen      | 0,714    | 0,682 | 0,714    | 0,636    | 0,783  | 0,762    | 0,800   | 0,778   | 0,471  | 0,476    | 0,684     | 0,714   | 0,737  | 0,786   |
| Nieuw-Scheer   | 0,650    | 0,682 | 0,650    | 0,652    | 0,773  | 0,762    | 0,739   | 0,722   | 0,556  | 0,368    | 0,647     | 0,615   | 0,667  | 0,786   |
| Langelo        | 0,727    | 0,524 | 0,739    | 0,652    | 0,792  | 0,650    | 0,760   | 0,647   | 0,550  | 0,500    | 0,700     | 0,824   | 0,810  | 0,950   |

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• step 2: reduce this 267-dimensional matrix to a two- or three-dimensional one, so that it can easily be visualized

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#### • step 3: project back onto a geographical map

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• shortcomings of this approach for my current purposes:

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- shortcomings of this approach for my current purposes:
  - the linguistic constructions themselves play only an indirect role in the outcome of the analysis: we can see when two dialects differ, but we don't see which cluster orders are responsible for this difference or how they cluster or correlate

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- shortcomings of this approach for my current purposes:
  - the linguistic constructions themselves play only an indirect role in the outcome of the analysis: we can see when two dialects differ, but we don't see which cluster orders are responsible for this difference or how they cluster or correlate
  - there is no link between the data that feed into the quantitative analysis and the formal theoretical literature on verb clusters

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#### Reverse dialectometry

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#### Reverse dialectometry

• proposal: two changes to the classical dialectometric setup:

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#### Reverse dialectometry

- **proposal:** two changes to the classical dialectometric setup:
  - 1. cluster orders are *individuals* rather than variables, i.e. instead of calculating differences between dialect locations, we measure differences between linguistic constructions

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#### Reverse dialectometry

- **proposal:** two changes to the classical dialectometric setup:
  - 1. cluster orders are *individuals* rather than variables, i.e. instead of calculating differences between dialect locations, we measure differences between linguistic constructions
  - Multiple Correspondence Analysis (MCA) instead of Multidimensional Scaling (MDS): involves the same kind of dimension reduction, but applied simultaneously to individuals and variables → will allow for the inclusion of formal theoretical variables alongside geographical ones

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#### Reverse dialectometry

- **proposal:** two changes to the classical dialectometric setup:
  - 1. cluster orders are *individuals* rather than variables, i.e. instead of calculating differences between dialect locations, we measure differences between linguistic constructions
  - Multiple Correspondence Analysis (MCA) instead of Multidimensional Scaling (MDS): involves the same kind of dimension reduction, but applied simultaneously to individuals and variables → will allow for the inclusion of formal theoretical variables alongside geographical ones
- starting point: a data table with cluster orders as rows and dialect locations as columns

#### Dialectometry

# Reverse dialectometry

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|                               | Midsland | Lies | West.Tersch | Oosterend | Hollum | Schiermonni | Ferwerd | Anjum | Kollum | Visvliet |
|-------------------------------|----------|------|-------------|-----------|--------|-------------|---------|-------|--------|----------|
| AUX1(be.sg)-PART2             | no       | no   | no          | NA        | no     | no          | no      | no    | no     | no       |
| PART2-AUX1(be.sg)             | yes      | yes  | yes         | NA        | yes    | yes         | yes     | yes   | yes    | yes      |
| AUX1(have.sg)-PART2           | no       | no   | no          | no        | NA     | no          | no      | no    | no     | no       |
| PART2-AUX1(have.sg)           | yes      | yes  | yes         | yes       | NA     | yes         | yes     | yes   | yes    | yes      |
| AUX1(have.pl)-PART2           | no       | no   | no          | no        | no     | no          | no      | no    | no     | no       |
| PART2-AUX1(have.pl)           | yes      | yes  | yes         | yes       | yes    | yes         | yes     | yes   | yes    | yes      |
| MOD1(sg)-INF2                 | no       | no   | yes         | no        | no     | no          | no      | no    | no     | yes      |
| INF2-MOD1(sg)                 | yes      | yes  | yes         | yes       | yes    | yes         | yes     | yes   | yes    | yes      |
| MOD2-INF3-MOD1(sg)            | no       | no   | no          | no        | no     | no          | no      | no    | no     | no       |
| MOD1(sg)-MOD2-INF3            | no       | no   | no          | yes       | no     | no          | no      | no    | yes    | yes      |
| MOD1(sg)-INF3-MOD2            | yes      | no   | no          | no        | no     | no          | no      | no    | no     | no       |
| INF3-MOD2-MOD1(sg)            | yes      | yes  | yes         | no        | yes    | yes         | yes     | yes   | yes    | yes      |
| INF3-MOD1(sg)-MOD2            | no       | no   | no          | no        | no     | no          | no      | no    | no     | yes      |
| MOD1(sg)-AUX2(have)-PART3     | no       | no   | no          | no        | no     | no          | no      | NA    | no     | no       |
| MOD1(sg)-PART3-AUX2(have)     | no       | no   | no          | no        | no     | no          | no      | NA    | yes    | yes      |
| PART3-MOD1(sg)-AUX2(have)     | no       | yes  | no          | yes       | no     | no          | no      | NA    | yes    | yes      |
| PART3-AUX2(have)-MOD1(sg)     | yes      | yes  | yes         | no        | yes    | yes         | yes     | NA    | yes    | yes      |
| AUX1(be.sg)-AUX2(go)-INF3     | no       | no   | no          | yes       | no     | no          | no      | no    | NA     | yes      |
| AUX1(be.sg)-INF3-AUX2(go)     | no       | no   | no          | no        | no     | no          | no      | no    | NA     | no       |
| AUX2(go)-AUX1(be.sg)-INF3     | no       | no   | no          | no        | no     | yes         | no      | no    | NA     | no       |
| AUX2(go)-INF3-AUX1(be.sg)     | no       | no   | no          | no        | no     | no          | no      | no    | NA     | no       |
| INF3-AUX1(be.sg)-AUX2(go)     | no       | no   | no          | no        | no     | no          | no      | no    | NA     | no       |
| INF3-AUX2(go)-AUX1(be.sg)     | yes      | yes  | yes         | no        | yes    | no          | yes     | yes   | NA     | no       |
| AUX1(have.sg)-MOD2(inf)-INF3  | no       | no   | no          | yes       | no     | no          | no      | no    | no     | no       |
| AUX1(have.sg)-INF3-MOD2(part) | no       | no   | no          | no        | no     | no          | no      | no    | no     | yes      |
| AUX1(have.sg)-INF3-MOD2(inf)  | no       | no   | no          | no        | no     | no          | no      | no    | no     | no       |
| MOD2(inf)-INF3-AUX1(have.sg)  | no       | no   | no          | no        | no     | no          | no      | no    | no     | no       |
| INF3-AUX1(have.sg)-MOD2(inf)  | no       | no   | yes         | no        | no     | no          | no      | no    | no     | no       |
| INF3-AUX1(have.sg)-MOD2(part) | no       | no   | no          | no        | no     | no          | no      | no    | no     | yes      |
| INF3-MOD2(part)-AUX1(have.sg) | no       | yes  | no          | no        | no     | yes         | no      | no    | yes    | yes      |
| INF3-MOD2(inf)-AUX1(have.sg)  | yes      | yes  | yes         | no        | yes    | no          | yes     | yes   | no     | yes      |

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• transform to a distance matrix and reduce its dimensionality

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 note: each point now represents a particular cluster order and closeness of points indicates how alike two verb cluster orders are based on their geographical spread

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- note: each point now represents a particular cluster order and closeness of points indicates how alike two verb cluster orders are based on their geographical spread
- if this likeness is the result of grammatical parameters, then verb cluster orders that are 'closeby' should be the result of the same parameter setting, i.e. parameters create **natural classes** of verb cluster orders

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- note: each point now represents a particular cluster order and closeness of points indicates how alike two verb cluster orders are based on their geographical spread
- if this likeness is the result of grammatical parameters, then verb cluster orders that are 'closeby' should be the result of the same parameter setting, i.e. parameters create **natural classes** of verb cluster orders
- in order to find those parameters, we can also encode the cluster orders in terms of their theoretical linguistic analyses

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 theoretical accounts differ in which analysis they assign to which cluster order ⇒ cluster orders have their own specific 'fingerprint' in each analysis, some of them very similar to one another and others very different

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- theoretical accounts differ in which analysis they assign to which cluster order ⇒ cluster orders have their own specific 'fingerprint' in each analysis, some of them very similar to one another and others very different
- we can encode the SAND cluster orders in our database in terms of those fingerprints and then compare them to the geographical clustering

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- theoretical accounts differ in which analysis they assign to which cluster order ⇒ cluster orders have their own specific 'fingerprint' in each analysis, some of them very similar to one another and others very different
- we can encode the SAND cluster orders in our database in terms of those fingerprints and then compare them to the geographical clustering
- e.g. in Barbiers (2005)'s analysis cluster orders can differ from one another on four counts:

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- e.g. in Barbiers (2005)'s analysis cluster orders can differ from one another on four counts:

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•  $[\pm base-generation]$ : can the order be base-generated?

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- theoretical accounts differ in which analysis they assign to which cluster order ⇒ cluster orders have their own specific 'fingerprint' in each analysis, some of them very similar to one another and others very different
- we can encode the SAND cluster orders in our database in terms of those fingerprints and then compare them to the geographical clustering
- e.g. in Barbiers (2005)'s analysis cluster orders can differ from one another on four counts:
  - [±base-generation]: can the order be base-generated?
  - [±movement]: can the order be derived via movement?

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- theoretical accounts differ in which analysis they assign to which cluster order ⇒ cluster orders have their own specific 'fingerprint' in each analysis, some of them very similar to one another and others very different
- we can encode the SAND cluster orders in our database in terms of those fingerprints and then compare them to the geographical clustering
- e.g. in Barbiers (2005)'s analysis cluster orders can differ from one another on four counts:
  - [±base-generation]: can the order be base-generated?
  - [±movement]: can the order be derived via movement?
  - [±pied-piping]: does the derivation involve pied-piping?

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- theoretical accounts differ in which analysis they assign to which cluster order ⇒ cluster orders have their own specific 'fingerprint' in each analysis, some of them very similar to one another and others very different
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- e.g. in Barbiers (2005)'s analysis cluster orders can differ from one another on four counts:
  - [±base-generation]: can the order be base-generated?
  - [±movement]: can the order be derived via movement?
  - [±pied-piping]: does the derivation involve pied-piping?
  - [±feature-checking violation]: does the order involve a feature checking violation?

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|                               | Barbiers-base.generation | Barbiers-movement | Barbiers-spec-pied-piping | Barbiers-feature.checking-failure |
|-------------------------------|--------------------------|-------------------|---------------------------|-----------------------------------|
| AUX1(be.sg)-PART2             | yesBase                  | noMvt             | noPiedP                   | noFeatCheckFail                   |
| PART2-AUX1(be.sg)             | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |
| AUX1(have.sg)-PART2           | yesBase                  | noMvt             | noPiedP                   | noFeatCheckFail                   |
| PART2-AUX1(have.sg)           | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |
| AUX1(have.pl)-PART2           | yesBase                  | noMvt             | noPiedP                   | noFeatCheckFail                   |
| PART2-AUX1(have.pl)           | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |
| MOD1(sg)-INF2                 | yesBase                  | noMvt             | noPiedP                   | noFeatCheckFail                   |
| INF2-MOD1(sg)                 | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |
| MOD2-INF3-MOD1(sg)            | noBase                   | yesMvt            | noPiedP                   | yesFeatCheckFail                  |
| MOD1(sg)-MOD2-INF3            | yesBase                  | noMvt             | noPiedP                   | noFeatCheckFail                   |
| MOD1(sg)-INF3-MOD2            | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |
| INF3-MOD2-MOD1(sg)            | noBase                   | yesMvt            | yesPiedP                  | noFeatCheckFail                   |
| INF3-MOD1(sg)-MOD2            | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |
| MOD1(sg)-AUX2(have)-PART3     | yesBase                  | noMvt             | noPiedP                   | noFeatCheckFail                   |
| MOD1(sg)-PART3-AUX2(have)     | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |
| PART3-MOD1(sg)-AUX2(have)     | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |
| PART3-AUX2(have)-MOD1(sg)     | noBase                   | yesMvt            | yesPiedP                  | noFeatCheckFail                   |
| AUX1(be.sg)-AUX2(go)-INF3     | yesBase                  | noMvt             | noPiedP                   | noFeatCheckFail                   |
| AUX1(be.sg)-INF3-AUX2(go)     | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |
| AUX2(go)-AUX1(be.sg)-INF3     | noBase                   | noMvt             | noPiedP                   | noFeatCheckFail                   |
| AUX2(go)-INF3-AUX1(be.sg)     | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |
| INF3-AUX1(be.sg)-AUX2(go)     | noBase                   | yesMvt            | noPiedP                   | yesFeatCheckFail                  |
| INF3-AUX2(go)-AUX1(be.sg)     | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |
| AUX1(have.sg)-MOD2(inf)-INF3  | yesBase                  | noMvt             | noPiedP                   | noFeatCheckFail                   |
| AUX1(have.sg)-INF3-MOD2(part) | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |
| AUX1(have.sg)-INF3-MOD2(inf)  | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |
| MOD2(inf)-INF3-AUX1(have.sg)  | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |
| INF3-AUX1(have.sg)-MOD2(inf)  | noBase                   | yesMvt            | noPiedP                   | yesFeatCheckFail                  |
| INF3-AUX1(have.sg)-MOD2(part) | noBase                   | yesMvt            | noPiedP                   | yesFeatCheckFail                  |
| INF3-MOD2(part)-AUX1(have.sg) | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |
| INF3-MOD2(inf)-AUX1(have.sg)  | noBase                   | yesMvt            | noPiedP                   | noFeatCheckFail                   |

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• **in total:** 70 additional variables distilled from the theoretical literature on verb clusters have been added to the data table:

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- **in total:** 70 additional variables distilled from the theoretical literature on verb clusters have been added to the data table:
  - the analyses of Barbiers (2005), Barbiers and Bennis (2010), Abels (2011), Haegeman and Riemsdijk (1986), Bader (2012), and Schmid and Vogel (2004)
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  - the analyses of Barbiers (2005), Barbiers and Bennis (2010), Abels (2011), Haegeman and Riemsdijk (1986), Bader (2012), and Schmid and Vogel (2004)
  - four analyses from Wurmbrand (2005): a head-initial head movement analysis, a head-final head movement analysis, a head-initial XP-movement analysis, a head-final XP-movement analysis

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  - four analyses from Wurmbrand (2005): a head-initial head movement analysis, a head-final head movement analysis, a head-initial XP-movement analysis, a head-final XP-movement analysis
  - 17 additional variables based on the theoretical literature, but not linked to a specific analysis

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- **in total:** 70 additional variables distilled from the theoretical literature on verb clusters have been added to the data table:
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  - four analyses from Wurmbrand (2005): a head-initial head movement analysis, a head-final head movement analysis, a head-initial XP-movement analysis, a head-final XP-movement analysis
  - 17 additional variables based on the theoretical literature, but not linked to a specific analysis
- in the analysis, these 70 variables are used as **supplementary variables**: they do not contribute to the dimension reduction, but they are mapped against its output, in order to interpret the results

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• **recall:** we are trying to determine if the variation in word order in verbal clusters is determined by grammatical parameters, and if so to what extent

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- **recall:** we are trying to determine if the variation in word order in verbal clusters is determined by grammatical parameters, and if so to what extent
- this means we need to determine **how many** parameters there are and **what they are**

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- **recall:** we are trying to determine if the variation in word order in verbal clusters is determined by grammatical parameters, and if so to what extent
- this means we need to determine **how many** parameters there are and **what they are**
- **proposal (I)**: the number of parameters responsible for the verb cluster variation = the number of dimensions we reduce our data set to

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Percentage of variance explained per dimension



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• **note:** there seems to be a clear cut-off point after the third dimension

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- **note:** there seems to be a clear cut-off point after the third dimension
- together, the first three dimensions account for 78.46% of the variation in the SAND verb cluster data

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• in order to know what those parameters are, we need to *interpret* the first three dimensions

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• **proposal (II):** the identity of those parameters = the interpretation of the dimensions

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- **proposal (I)**: the number of parameters responsible for the verb cluster variation = the number of dimensions we reduce our data set to
- **proposal (II):** the identity of those parameters = the interpretation of the dimensions
- the degree of similarity/correlation between a dimension and a linguistic variable can be determined by:

1. visual inspection of a color-coded map



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- proposal (II): the identity of those parameters = the interpretation of the dimensions
- the degree of similarity/correlation between a dimension and a linguistic variable can be determined by:
  - 1. visual inspection of a color-coded map
  - 2. calculating the squared correlation ratio  $(\eta^2)$ : value between 0 and 1 indicating the strength of the link between a dimension and a particular categorical variable; can be interpreted as the percentage of variation on the dimension that can be explained by that categorical variable

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• is related to the **morphological form** of the verb: infinitive (*will* **see**) or auxiliary (*have* **seen**)

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- is related to the **morphological form** of the verb: infinitive (*will* **see**) or auxiliary (*have* **seen**)
- this dimension separates dialects where the infinitive follows the auxiliary it combines with (*will see*) and the participle precedes the auxiliary it combines with (*seen have*) from dialects where at least one of those orders differs

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- is related to the **morphological form** of the verb: infinitive (*will* **see**) or auxiliary (*have* **seen**)
- this dimension separates dialects where the infinitive follows the auxiliary it combines with (*will see*) and the participle precedes the auxiliary it combines with (*seen have*) from dialects where at least one of those orders differs
- more specifically, the variable INFMOD.AUXPART:

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- is related to the **morphological form** of the verb: infinitive (*will* **see**) or auxiliary (*have* **seen**)
- this dimension separates dialects where the infinitive follows the auxiliary it combines with (*will see*) and the participle precedes the auxiliary it combines with (*seen have*) from dialects where at least one of those orders differs
- more specifically, the variable INFMOD.AUXPART:
  - set to 'no' when the modal precedes the infinitive (when present) and the participle precedes the auxiliary (when present)

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- more specifically, the variable INFMOD.AUXPART:
  - set to 'no' when the modal precedes the infinitive (when present) and the participle precedes the auxiliary (when present)
  - set to 'yes' when at least one of these conditions is not met

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- is related to the **morphological form** of the verb: infinitive (*will* **see**) or auxiliary (*have* **seen**)
- this dimension separates dialects where the infinitive follows the auxiliary it combines with (*will see*) and the participle precedes the auxiliary it combines with (*seen have*) from dialects where at least one of those orders differs
- more specifically, the variable INFMOD.AUXPART:
  - set to 'no' when the modal precedes the infinitive (when present) and the participle precedes the auxiliary (when present)
  - set to 'yes' when at least one of these conditions is not met
- this variable has a  $\eta^2$  of 0.6142

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• is related to the 'slope' of the cluster: ascending (e.g.  $1 \nearrow 2 \nearrow 3$ ) or descending (e.g.  $3 \searrow 2 \searrow 1$ )

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• more specifically, the variable FINALDESCENT:

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• is related to the 'slope' of the cluster: ascending (e.g.  $1 \nearrow 2 \nearrow 3$ ) or descending (e.g.  $3 \searrow 2 \searrow 1$ )

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- more specifically, the variable FINALDESCENT:
  - set to 'yes' if the cluster ends in a descending order

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• is related to the 'slope' of the cluster: ascending (e.g.  $1 \nearrow 2 \nearrow 3$ ) or descending (e.g.  $3 \searrow 2 \searrow 1$ )

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- more specifically, the variable FINALDESCENT:
  - · set to 'yes' if the cluster ends in a descending order
  - set to 'no' if it ends in an ascending order

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- is related to the 'slope' of the cluster: ascending (e.g.  $1 \nearrow 2 \nearrow 3$ ) or descending (e.g.  $3 \searrow 2 \searrow 1$ )
- more specifically, the variable FINALDESCENT:
  - · set to 'yes' if the cluster ends in a descending order
  - set to 'no' if it ends in an ascending order

| <b>FinalDescent_yes</b> | <b>FinalDescent_no</b> |
|-------------------------|------------------------|
| 21                      | 12                     |
| 132                     | 123                    |
| 321                     | 312                    |
| 231                     | 213                    |

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- is related to the 'slope' of the cluster: ascending (e.g.  $1 \nearrow 2 \nearrow 3$ ) or descending (e.g.  $3 \searrow 2 \searrow 1$ )
- more specifically, the variable FINALDESCENT:
  - · set to 'yes' if the cluster ends in a descending order
  - set to 'no' if it ends in an ascending order

| <b>FinalDescent_yes</b> | <b>FinalDescent_no</b> |
|-------------------------|------------------------|
| 21                      | 12                     |
| 132                     | 123                    |
| 321                     | 312                    |
| 231                     | 213                    |

• this variable has a  $\eta^2$  of 0.382

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• is again related to the slope of the cluster (and strongly so)

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- is again related to the slope of the cluster (and strongly so)
- it separates the strictly descending orders (i.e. 21 and 321) from all the others (12, 123, 132, 312, 213, 231):  $\eta^2 = 0.686$

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### Combining the dimensions into a theoretical analysis

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# Combining the dimensions into a theoretical analysis

• the quantitative-statistical analysis thus yields three ingredients which theoretical linguists can use to base their analysis on

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# Combining the dimensions into a theoretical analysis

- the quantitative-statistical analysis thus yields three ingredients which theoretical linguists can use to base their analysis on
- for example, a possible parametrized analysis of verb clusters:
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- the quantitative-statistical analysis thus yields three ingredients which theoretical linguists can use to base their analysis on
- for example, a possible parametrized analysis of verb clusters:
  - 1. a head-final base order

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- the quantitative-statistical analysis thus yields three ingredients which theoretical linguists can use to base their analysis on
- for example, a possible parametrized analysis of verb clusters:
  - 1. a head-final base order
  - 2. which dialects can diverge from or not:  $[\pm Movement]$  (dimension 3)

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- the quantitative-statistical analysis thus yields three ingredients which theoretical linguists can use to base their analysis on
- for example, a possible parametrized analysis of verb clusters:
  - 1. a head-final base order
  - 2. which dialects can diverge from or not:  $[\pm Movement]$  (dimension 3)
  - 3. those that diverge can diverge strongly or not: Economy of Movement (dimension 2)

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- the quantitative-statistical analysis thus yields three ingredients which theoretical linguists can use to base their analysis on
- for example, a possible parametrized analysis of verb clusters:
  - 1. a head-final base order
  - 2. which dialects can diverge from or not:  $[\pm Movement]$  (dimension 3)
  - 3. those that diverge can diverge strongly or not: Economy of Movement (dimension 2)
  - above and beyond all this, a headedness parameter regulates the order of infinitives and participles vis-à-vis their selecting verbs: [±ModInf&PartAux] (dimension 1)

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• roughly 80% of the variation found in Dutch verb cluster orders can be reduced to three grammatical parameters by applying a statistical analysis to the data

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- roughly 80% of the variation found in Dutch verb cluster orders can be reduced to three grammatical parameters by applying a statistical analysis to the data
- more generally, there is room for fruitful collaboration between formal-theoretical and quantitative-statistical linguistics:

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