Grammaticalising microvariation What we can learn from Dutch and Afrikaans periphrastic progressives

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¹Part of this work is embedded in a project with Katherine Fraser (Univ. of the Basque country (UPV/EHU).

Outline

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Background: periphrastic progressives in Germanic

The data

Corpora Dataset #1: morphosyntax Dataset #2: semantic bleaching Dataset #2: evaluative content

The analysis

Grammaticalisation path for periphrastic progressives Additional pragmaticalisation

At the morphosyntax-semantics interface

Conclusion

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Empirical focus

 Dutch and Afrikaans periphrastic progressives with a motion/posture verb as aspectual marker

(1) Ik loop/zit/sta/lig te werken.
 I walk/sit/stand/lie to work
 'I'm working.'

(Dutch)

(2) Ek loop/sit/staan/lê en werk. I walk/sit/stand/lie and work 'I'm working.'

(Afrikaans)

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- Dutch and Afrikaans periphrastic progressives with a motion/posture verb as aspectual marker
 →Henceforth MPPs (Motion/Posture Progressive)
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- (2) Ek loop/sit/staan/lê en werk. I walk/sit/stand/lie and work 'I'm working.' (Afrikaans)

 $\rightarrow In$ Dutch: 'motion/posture verb te V' $\rightarrow In$ Afrikaans: pseudocoordination, i.e. 'motion/posture verb and V'

(Dutch)

Peculiar morphosyntax and semantics

 MPPs show morphosyntactic variation both within and across the two languages (Haeseryn et al. 1997; Biberauer 2017; Breed 2017)

Peculiar morphosyntax and semantics

The semantics of the motion/posture verbs are bleached to different degrees within and across the two languages (Haeseryn et al. 1997; Lemmens 2005; Donaldson 1993; De Vos 2005; Biberauer 2017; Breed 2017a)

Peculiar morphosyntax and semantics

 MMPs are often accompanied by secondary, evaluative content (Haeseryn et al. 1997; Lemmens 2005; Biberauer 2017; Biberauer & Vikner 2017; Breed et al. 2017; Breed 2017a,b)

Peculiar morphosyntax and semantics

- MMPs are often accompanied by secondary, evaluative content (Haeseryn et al. 1997; Lemmens 2005; Biberauer 2017; Biberauer & Vikner 2017; Breed et al. 2017; Breed 2017a,b)
- They signal the speaker's evaluation or attitude concerning the eventuality described by the sentence

Main aims of this talk

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- Presenting an analysis how these two factors interact with each other

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 - Illustrating additional pragmaticalisation of these MPPs

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- Presenting an analysis how these two factors interact with each other
 - Illustrating additional pragmaticalisation of these MPPs
 - Showing how this fits in a theory which places speaker perspective phenomena at the phase edge

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	Du	Afr	Eng	Ger	Cont. Sc.	Ins. Sc.
posture verbs	V	V	×	×	\vee	\vee
'walk'	\vee	\vee	×	×	×	×
pseudocoordination	×	\vee		×	\vee	V
'to'-coordination	\vee	×	×	×	$\times/?$	×
real progressive	\vee	\vee	×	×	×	×

Table 1: MPP-like structures in Germanic

	Du	Afr	Eng	Ger	Cont. Sc.	Ins. Sc.
posture verbs	V	V	×	×	\vee	\vee
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 In English, pseudocoordination is lexically restricted to 'try', 'come' and 'go' (Carden & Pesetsky 1978)

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real progressive	\vee	\vee	×	×	×	×

Table 1: MPP-like structures in Germanic

- In English, pseudocoordination is lexically restricted to 'try', 'come' and 'go' (Carden & Pesetsky 1978)
- In varieties of Danish and Norwegian, the coordinator in MMP-like constructions is ambiguous between 'to' and 'and' (Wiklund 2007)

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 - The only two Germanic languages in which motion verb 'walk' is used in MPPs
 - The only two Germanic languages in which these structures have real progressive interpretations
 - Dutch is the only language that combines the two verbs in the MPPs with *te* 'to' (instead of pseudocoordination)
- So far, a unified formal analysis of the structure of Dutch and Afrikaans MPPs has not been proposed yet

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Dutch

- ► Corpus based research: SoNaR+
 - Two subcorpora: SoNaR-500 (500 mil. words) & Corpus Gesproken Nederlands (9 mil. words)
 - Standard Dutch and Flemish
 - Printed and electronic text; spoken Dutch/Flemish
 - Various registers and genres

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 - Embedded under the temporal auxiliary *hebben* 'have', to investigate the presence/absence of IPP-form of the progressive verb

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 - 2. Smaller, randomly selected datasets for all Dutch and Afrikaans MPP-types, to annotate for semantic bleaching and evaluative content

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- In Afrikaans MPPs, the motion/posture verb can either appear in IPP form or as past participle (De Vos 2005; Schmid 2005; Augustinus & Dirix 2013)

- In Dutch MPPs, the motion/posture verb always has to appear in IPP form, and can never appear as past participle
- (3) a. Ik heb *(gelopen)/ lopen te werken. I have walk.PPC/ walk.INF to work
 - b. Ik heb ***(gezeten)**/ **zitten te werken**. I have sit.PPC/ sit.INF to work
 - c. lk heb ***(gestaan)**/ **staan te werken**. I have stand.PPC/ stand.INF to work
 - d. Ik heb *(gelegen)/ liggen te werken.
 I have lie.PPC/ lie.INF to work
 'I've been working.'

(Dutch)

- In Afrikaans MPPs, the motion/posture verb can either appear in IPP form or as past participle
- (4) a. Ek het geloop/ loop en werk. I have walk.PPC/ walk.INF to work
 b. Ek het gesit/ sit en werk. I have sit.PPC/ sit.INF to work
 c. Ek het gestaan/ staan en werk. I have stand.PPC/ stand.INF to work
 d. Ek het gelê/ lê en werk. I have lie.PPC/ lie.INF to work
 'I've been working.' (Afrikaans)

IPP/no-IPP form of the progressive verb in Dutch MPPs

Verb	+IPP	-IPP	total
<i>lopen</i> 'walk'	89 (100%)	0 (0%)	89 (100%)
<i>zitten</i> 'sit'	928 (100%)	0 (0%)	928 (100%)
<i>staan</i> 'stand'	123 (100%)	0 (0%)	123 (100%)
<i>liggen</i> 'lie'	214 (100%)	0 (0%)	214 (100%)

Table 2: Morphological form of the progressive verb in Dutch MPPs

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Table 2: Morphological form of the progressive verb in Dutch MPPs

► All Dutch MPPs, the progressive verb occurs in IPP form

► IPP/no-IPP form of the progressive verb in Afrikaans MPPs

Verb	+IPP	-IPP	total
loop 'walk'	83 (74,8%)	28 (25,2%)	109 (100%)
sit 'sit'	220 (48,4%)	235 (51,6%)	455 (100%)
staan 'stand'	155 (44,8%)	191 (55,2%)	346 (100%)
<i>lê</i> 'lie'	113 (45,4%)	136 (54,6%)	249 (100%)

Table 3: Morphological form of the progressive verb in Afrikaans MPPs

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Table 4: Morphological form of the progressive verb in Afrikaans MPPs

 IPP is much more common with motion verb *loop* than with the posture verbs (for which IPP seems truly optional)

 Focus 2: the presence/absence of te 'to' for Dutch and en 'and' for Afrikaans

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- Motion verb MPPs in Dutch and Afrikaans have been reported to show high frequencies of *te/en*-drop, which has been said to be less frequent/ungrammatical in the posture verb counterparts (Haeseryn et al. 1997; Biberauer 2017)

- (5) Ik heb in de schaduw lopen [?](te) wachten.
 I have in the shade walk to wait 'I've been waiting in the shade.'
- (6) Ik heb in de schaduw staan (te) wachten.
 I have in the shade stand to wait
 'I've been waiting in the shade.' (Dutch)

- (7) Ek het in die schaduw loop (en) wag.I have in the shade walk and wait 'I've been waiting in the shade.'
- (8) Ek het in die schaduw staan *(en) wag.
 I have in the shade stand and wait
 'I've been waiting in the shade.' (Afrikaans)

Presence/absence of te in Dutch MPPs

Verb	+te	-te	total
<i>lopen</i> 'walk'	0 (0%)	89 (100%)	89 (100%)
<i>zitten</i> 'sit'	8 (0,8%)	920 (99,2%)	928 (100%)
staan 'stand'	13 (10,7%)	110 (89,4%)	123 (100%)
<i>liggen</i> 'lie'	2 (0,9%)	212 (99,1%)	214 (100%)

Table 5: Presence/absence of te 'to' in Dutch MPPs

Presence/absence of te in Dutch MPPs

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<i>lopen</i> 'walk'	0 (0%)	89 (100%)	89 (100%)
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Table 5: Presence/absence of te 'to' in Dutch MPPs

 No occurrences of *te* in *lopen* MPPs, few instances with posture verb MPPs

Presence/absence of en in Afrikaans MPPs

Progressive verb	+ <i>En</i>	-En	total	
<i>loop</i> 'walk'	24 (21,6%)	85 (78,4%)	109 (100%)	
<i>sit</i> 'sit'	455 (100%)	0 (0%)	455 (100%)	
staan 'stand'	346 (100%)	0 (0%)	346 (100%)	
<i>lê</i> 'lie'	249 (100%)	0 (0%)	249 (100%)	

Table 6: Presence/absence of en 'and' in Afrikaans MPPs

Presence/absence of en in Afrikaans MPPs

Progressive verb	+ <i>En</i>	-En	total	
<i>loop</i> 'walk'	24 (21,6%)	85 (78,4%)	109 (100%)	
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staan 'stand'	346 (100%)	0 (0%)	346 (100%)	
<i>lê</i> 'lie'	249 (100%)	0 (0%)	249 (100%)	

Table 6: Presence/absence of en 'and' in Afrikaans MPPs

We only find occurrences of en-drop with motion verb loop

Morphological form of the progressive verb:

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 - In Dutch MPPs, the motion/posture verb always appears in IPP form
 - In Afrikaans MPPs, the motion verb appears in IPP form in roughly 75% of the cases and in past participle form in 25%; for the posture verbs, IPP/past participle form occur equally frequently
- Presence/absence of te/en:
 - In Dutch, all motion MPPs hits show te-drop, while there are some occurrences of te in posture MPPs
 - In Afrikaans, there are high occurrences of *en*-drop in motion MPPs, and no hits with posture MMPs

Different extents of semantic bleaching

A physical motion through space, or seated, standing, lying position is not always entailed by the motion/posture verb in MPPs (Haeseryn et al. 1997; Lemmens 2005; Donaldson 1993; De Vos 2005; Biberauer 2017; Breed 2017a)

Example motion entailed:

 (9) Onderweg naar het restaurant hebben ze aan een On.the.way to the restaurant have they at one stuk door lopen praten. piece through walk talk.
 'They've been talking the entire time on their way to the restaurant.'

Example no motion entailed:

(10) Jammer dat ze in de show hadden lopen knippen, Pity that they in the show had walk.INF cut, miste een aantal leuke stukken. missed a couple fun parts.
'[It is] a pity that they've been cutting in the show, a couple of fun parts were missing.'

(Dutch, SoNaR+)

Physical motion through space/posture position entailed per motion/posture verb MPP for Dutch

Motion/posture entailed	lopen	zitten	staan	liggen
	n=94	n=93	n=94	n=94
Yes	17 (18,1%)	35 (37,7%)	82 (87,0%)	69 (73,4%)
No	67 (71,3%)	6 (6,4%)	15 (16,0%)	20 (21,4%)
Unclear	10 (10,6%)	39 (41,9%)	6 (6,4%)	5 (5,2%)

Table 7: Semantic bleaching per motion/posture verb in Dutch

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Table 7: Semantic bleaching per motion/posture verb in Dutch

Dutch lopen is semantically bleached to the highest extent

 Physical motion through space/posture position entailed per motion/posture verb MPP for Afrikaans

Motion/posture entailed	loop	sit	staan	lê
	n=109	n=109	n=109	n=109
Yes	12 (11,0%)	98 (89,9%)	94 (86,2%)	94 (87,2%)
No	43 (39,4 %)	2 (01,8%)	4 (03,7%)	13 (11,9%)
Unclear	54 (49,6%)	9 (08,3%)	11 (10,1%)	1 (00,9%)

Table 8: Semantic bleaching per motion/posture verb in Afrikaans

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- Afrikaans loop is semantically bleached to the highest extent
- The posture verbs are hardly semantically bleached

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 - They signal the speaker's evaluation or attitude concerning the eventuality described by the sentence
- In dataset #2, we wanted to see to what extent pragmaticalisation may be occurring (see Pots & Fraser, in prep)

Secondary, evaluative component

(11) Ja ik merk net dat ik de herhaling heb lopen yes I noticed just.now that I the rerun have walk kijken, verdikkeme. watch, dammit 'Yes I just notice that I've been watching the rerun, dammit.'
(Dutch, OpenSoNaR+)

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 (Dutch, OpenSoNaR+)
 - The speaker evaluates the eventuality of watching the re-run as undesired

Secondary, evaluative component

 (12) Stel jou voor, dat elkeen vir hom een donkie Imagine you for, that everyone for himself a donkey
 loop vang het. walk catch have 'Imagine, that anyone would go and catch a donkey of his own.' (Afrikaans, Korpusportaal)

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 loop vang het. walk catch have 'Imagine, that anyone would go and catch a donkey of his own.'
 - The speaker evaluates the eventuality of everyone catching a donkey of his own as undesired

Annotations

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- They were first familiarised with the concept of evaluative content
- Then they were asked to answer a set of questions for each sentence

 Presence/absence of evaluative content in sentences with MPPs in Dutch

Evaluation	lopen	zitten	staan	liggen
	n=94	n=93	n=94	n=94
Present	75 (79,8%)	41 (44,1%)	42 (44,7%)	68 (72,3%)
Absent	13 (13,8%)	29 (31,2%)	35 (37,3%)	4 (4,3%)
Unclear	6 (6,4%)	23 (24,7%)	17 (18,6%)	22 (23,4%)

Table 9: Evaluative content in Dutch MPP sentences

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Table 9: Evaluative content in Dutch MPP sentences

Highest percentage of present evaluative content for *lopen* (79,8%), followed by *liggen* (72,3%)

 Presence/absence of evaluative content in sentences with MPPs in Afrikaans

Evaluation	Іоор	sit	staan	lê
	n=109	n=109	n=109	n=109
Present	75 (68,8%)	11 (10,1%)	24 (22,0%)	28 (10,0%)
Absent	20 (18,3%)	94 (77,1%)	79 (72,5%)	88 (77,1%)
Unclear	24 (12,9%)	14 (12,9%)	6 (05,5%)	1 (00,9%)

Table 10: Evaluative content in Afrikaans MPP sentences

 Presence/absence of evaluative content in sentences with MPPs in Afrikaans

Evaluation	Іоор	sit	staan	lê
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- Highest percentage of present evaluative content for *loop* (68,8%)
- In the sentences with posture verb MPPs, evaluative content is most frequently absent in Afrikaans
- Evaluative content is much less frequent in Afrikaans as compared to Dutch, except for with *loop*

- Extent of semantic bleaching
 - In Dutch, *lopen* is semantically bleached to the highest extent, followed by *zitten*; *staan/liggen* much less

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- Evaluative content
 - In Dutch, we find the highest frequency of evaluative content in sentences with *lopen* MPPs, followed by sentences with *liggen* MPPs
 - In Afrikaans, only in the sentences with *loop* MPPs we find a high frequency of evaluative content

Introduction

Background: periphrastic progressives in Germanic

The data Corpora Dataset #1: morphosyntax Dataset #2: semantic bleaching Dataset #2: evaluative content

The analysis

Grammaticalisation path for periphrastic progressives Additional pragmaticalisation At the morphosyntax-semantics interface

Conclusion

Three main claims:

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1. Afrikaans MPPs are on a grammaticalisation path from a syntactically 'wider' structure in which the motion/posture verb behaves as a light verb, to a structure in which *en* functions as a categoriser, attaches to the root of the motion/posture verb, and head-adjoins as a whole to Prog

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- 2. Dutch MPPs always have the latter structure
- 3. The attested morphosyntactic variation in MPPs follows from the extent to which the progressive verbs are grammaticalised

Two structures for Afrikaans MPPs

 A 'wider' syntactic structure of PseudoCoordination ('PC-structure') and a structure in which *en* is a categoriser, which attaches to the root of the progressive verb ('Prog-structure')

Two structures for Afrikaans MPPs

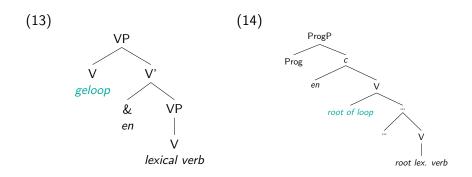
- A 'wider' syntactic structure of PseudoCoordination ('PC-structure') and a structure in which *en* is a categoriser, which attaches to the root of the progressive verb ('Prog-structure')
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 - In the PC-structure, the progressive verb still behaves as a light verb and can therefore carry inflection
 - In the Prog-structure, en is a categoriser, which makes it possible for the motion/posture verb to function as a progressive verb (e.g. head-adjoin as a whole to Prog)

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Grammaticalisation path of Afrikaans MPPs

From the PC-structure to the Prog-structure

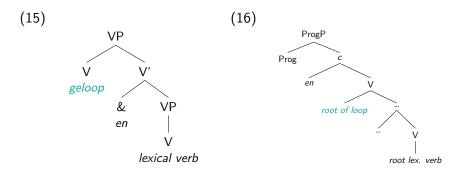
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 - The lexical semantic features of the motion/posture verb are gradually replaced by functional ones (e.g. [prog]-feature)

Grammaticalisation path of Afrikaans MPPs



Stage 1 (15): progressive verb still has its semantics and can occur as a past participle \rightarrow becomes more semantically bleached \rightarrow Stage 2 (16): expresses progressive aspect together with *en* (only IPP form)

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- 1. The motion/posture verb becomes a verb that can be directly combined with *en* and then merged in a higher functional head
- 2. En becomes more grammaticalised, i.e. loses its c-selection requirement to combine two items of the same category, leaving the requirement to combine two items (Biberauer, to appear)

Interaction of the two components

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- The reanalysis of the motion/posture verbs is caused by the frequent coordination of these verbs with another verb, leading to bleaching of the semantics of these verbs (cf. Jespersen's Cycle-type developments)
- The bleaching of these motion/posture verbs has a knock-on effect for *en*, losing the requirement to combine two items of the same category (COMBINATION-OF-LIKES specification -> COMBINATION specification) (Biberauer, to appear)

Interaction of semantic bleaching and morphological form

The assumption that the semantics of the progressive verb are still very salient when the progressive verb occurs in past participle form is reflected in the data:

Interaction of semantic bleaching and morphological form

- The assumption that the semantics of the progressive verb are still very salient when the progressive verb occurs in past participle form is reflected in the data:
- The occurrences of *loop* as past participle in Afrikaans MPPs entail physical motion through space much more often (in 80,8% of the past participle occurrences) than the IPP-form occurrences (54,2%)

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- Loop is more semantically bleached, thus further along the grammaticalisation path to a Prog-structure, in which it appears in bare, IPP form

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Dutch motion/posture verbs are semantically bleached to a higher extent than the Afrikaans ones, always occur in IPP form: always have the Prog-structure

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- Dutch motion/posture verbs are semantically bleached to a higher extent than the Afrikaans ones, always occur in IPP form: always have the Prog-structure
- In earlier stages of Dutch, MPPs were also cases of pseudocoordination ('motion/posture verb en V')
- Prediction: Dutch motion/posture verbs were less semantically bleached in that construction and could also occur in past participle form, which is indeed the case (Van Pottelberge 2002)

Further grammaticalisation and presence/absence of *te/en*

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 - En-drop almost exclusively happens when *loop* appears in IPP-form

Difference between the extent of grammaticalisation in Dutch and Afrikaans

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- Both languages have three periphrastic progressive constructions ('busy with V', 'at the V' and the MPPs)
- A comparative study by Breed et al. (2017) has shown that in Dutch the MPP construction is used much more frequently than in Afrikaans
- More frequent use is beneficial for grammaticalisation, e.g. Dutch MPPs are more grammaticalised because it is a more common option compared to Afrikaans MPPs

Recap: Evaluative content in dataset #2:

Dutch lopen: 75/94 (**79,8%**) zitten: 28/93 (30,0%) staan: 33/94 (35,1%) liggen: 65/94 (69,1%) Afrikaans loop: 75/109 (68,8%) sit: 11/109 (10,0%) staan: 24/109 (22,0%) lê: 28/109 (10,0%)

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- *lopen/loop* has a high percentage of evaluative sentences in both languages
- These motion verbs are also semantically bleached to the highest extent in the respective languages
- Dutch *liggen* also has a high percentage of evaluative sentences; often associated with death, illness, laziness (Lemmens 2005)

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 - This accounts for the frequency differences in evaluative content between the two languages, and between the motion/posture verbs
- Especially Dutch *lopen* is even so far grammaticalised that this evaluative meaning has almost conventionalised (=high extent of pragmaticalisation)

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► Ross (2016): Verbs or morphemes indicating movement away from deictic center → 'unexpected event'

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- Verbs like 'walk' indicate a certain iteration, continuousness, which can be a metaphorical representation of irritation

Difference between Dutch and Afrikaans MPPs

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Difference between Dutch and Afrikaans MPPs

- In Afrikaans, the gaan staan en V 'go stand and V' pseudocoordination seems to be used to express evaluation more frequently than the loop MPP
- (17) Dit het gaan staan en reën op haar troudag!
 it has gone stand and rain on her wedding-day
 'It went and rained on her wedding day!
 (Biberauer & Vikner 2017)

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- These motion verbs are thus at the edge of the Aspectual-progressive domain in the functional sequence
- Harwood (2013): the progressive is the maximal phase boundary of vP

Phase boundaries as loci for speaker perspective

Recent work has shown that not only the edge of the CP, but edges of phases in general are locations where speaker's perspective can be signalled (Poletto 2012; Wiltschko 2014, 2017; Heim & Wiltschko 2017; Biberauer & Vikner 2017; Biberauer 2018, a.o.)

The Peripheral Speaker-Hearer Hypothesis

Speaker-hearer perspective is formally encoded at the edges of phasal domains, where phasal domains are independently signalled, realizationally (PF) and interpretively (LF) privileged structural domains, the precise identity of which differs from language to language (Biberauer 2018: 3)

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'Phase edges constitute points of particular significance in language change, contact, and acquisition by providing a "way in" for elements that have not been (fully) formally integrated into the projecting structure.' (Biberauer 2018: 3)

Example of speaker perspective at the vP phase edge

- Clause-medial modal particles (Biberauer 2018: 8) and expressives (Potts 2007)
- (18) They're all *mos/sommer/maar* taking a chance.(South African English)
- (19) They're all **bloody/flippin'** (well) taking a chance. (British/American English)

The motion verbs as contributors to evaluative content

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- Since Dutch and Afrikaans motion verbs are at the edge of a phase, they often carry evaluative content
- They can also more often be the sole contributor of this meaning, i.e. Dutch *lopen* vs *liggen* -> the latter almost always combines with a negative lexical verb and/or subjective/iterative adverbs)

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• New data: Dutch and Afrikaans MPPs show:

 different morphosyntactic behaviour (IPP/no-IPP, presence/absence of te/en)

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 - the extent to which they are grammaticalised
 - e.g. what type of underlying syntactic structure they have
 - an interaction with additional pragmaticalisation (e.g. speaker perspective), which comes about at the vP phase edge (Poletto 2012; Wiltschko 2014, 2017; Biberauer 2018)

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