Composing attitudes: Why knowing people is not believing them

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1 Introduction

In the Hintikkan tradition, attitude verbs are standardly analysed as quantifiers over worlds: if Mary believes that Jane won, then all of Mary's belief-worlds have to be worlds in which Jane won:

(1) a. $[[\text{believe}]]^w = [\lambda \mathbf{p}_{\langle st \rangle} \cdot [\lambda \mathbf{x}_e \cdot \text{DOX} \ _x^w \subseteq \mathbf{p}]], \text{ where} \\ \text{DOX}_x^w = \{ \mathbf{w}' \colon \mathbf{w}' \text{ conforms to what x believes in w} \}$

b. $[[Mary believes that Jane won]]^w = 1$ iff $DOX_m^w \subseteq \{w': Jane won in w'\}$

know vs. believe:

- On this approach, the primary semantic difference between *know* and *believe* is the type of *accessibility relation* that determines the set of worlds quantified over (DOX/EPIST).
- They differ additionally in that *know*, like other factives, presuppose that p is true in w.
- (2) a. $[[\text{know}]]^w = [\lambda_{p < st>} [\lambda_{x_e}: \underline{p}(w) = 1.\text{EPIST}_x^w \subseteq p]], \text{ where:}$ EPIST $_x^w = \{w': w' \text{ conforms to what x knows in w}\}$
 - b. $[[Mary knows that Jane won]]^w = 1$ iff $EPIST_m^w \subseteq \{w': Jane won in w'\}$ defined if Jane won in w; otherwise #

In terms of the compositional semantics and the selectional properties of *know* vs. *believe*, this suggests that both types of verbs combine with propositions (or perhaps sets of propositions).

- Considering only cases where these verbs take declarative complements, this nicely captures the intuition that the main difference between *know* and *believe* in (3) is that with *know*, unlike with *believe*, the speaker assumes that Anna has reason to believe p, and also takes p to be true:
- (3) Sue believes/knows [$_P$ that Anna won].

This uniform picture is challenged by cases where know and believe combine with DPs, e.g.

(4) Sue believes/knows the claim.

Previous work on know vs. believe with CONTENT DPs have analysed know DP/CP in terms of polysemy.

Today:¹

- New observations about a different kind of DP, describing a Source of p (*I believe you that p*).
- Challenges for a polysemy based approach to know, and for a uniform analysis of know vs. believe.
- Propose that *know* and *believe* differ fundamentally at the level of argument-structure and internal composition, and thus combine with DPs via different routes:
 - Derivational relationship between know DP and know CP, s.t. both involve acquaintance;
 - Hintikkan approach to *believe*-verbs, whereby DPs are externally licensed or type-shifted.

¹The core observations of this talk are from Djärv (2019: Ch. 4).

(doxastics)

(epistemics)

2 Data

2.1 Source DPs

Doxastics, like *believe*, unlike epistemics like *know*, allow for a special kind of DP, describing the SOURCE of the information provided by the embedded clause (5).²

(5) Djärv (2019: 209)

- a. I {believe, trust} you [P] that Anna is to blame].
- b. *I {know, discovered} you [$_P$ that Anna is to blame].

A similar contrast arises in cases when these verbs take only a DP complement.

- With *believe*-verbs (6-a), the DP is understood as the source of some contextually provided proposition (p_C) , as in(5-a).
- For *know*-verbs (6-b), there is no inference of a relation to propositional content. Here, the DP is understood to denote an ordinary individual, which the subject is acquainted or familiar with.

(6) Djärv (2019: 210)

- a. I believe **you**. \approx I believe that you are right about p_C .
- b. I know $\mathbf{you.} \approx \mathbf{I}$ am familiar/acquainted with you.

This is not a lexical quirk of English *believe* – more on German below.

2.2 Content DPs

The contrast in the licensing of Source DPs turns out to track a previously observed contrast between verbs like *know* and *believe*; in terms of whether the verb+Content DP entails the verb+CP (e.g. Prior 1971, Pietroski 2000, Ginzburg 1995, King 2002, Moltmann 2013, Uegaki 2016, Elliott 2016) (see Djärv 2019 Ch. 4.1):

- (7) Sue {believes, trusts} [$_{DP}$ the rumor/claim that Anna is to blame]. \checkmark DP-to-CP entailment \models Sue {believes, trusts} [$_{CP}$ that Anna is to blame].
- (8) Sue {knows, discovered} [$_{DP}$ the rumor/claim that Anna is to blame]. \checkmark DP-to-CP entailment \notin Sue {knows, discovered} [$_{CP}$ that Anna is to blame].

2.3 Source DPs and Content DPs

In English, a Source DP cannot co-occur with a Content DP:

(9) *I believe you the claim that Anna is to blame.

This might lead us to think that the two DPs saturate, and thus compete for, the same (type e) argument slot of *believe*, and that the exact interpretation of the DP depends on pragmatic factors (e.g. plausibility).

However, German data shows us that this is not the right explanation!

In German, a Source DP can co-occur with a Content DP:

(10) German (Djärv 2019: 235)

Ich glaube ihmdieBehauptung, dass Hans Maria das Buch gab.Ibelieve him.DAT the.ACC claimthat Hans Maria the book gave

I believe the claim, that he told me, that Hans gave Maria the book.

Note here that in German, Source DPs have Dative case, and content DPs have Accusative case. This is true also in cases where they do not co-occur:³

German

^{2}Hence, this Source DP is neither the topic of the attitude, the *res*, nor the content of the belief.

³Thanks to Florian Schwarz, pc., for these observations and judgements.

English

German

(11) German (Djärv 2019: 235)

a. Ich glaube ihm/*ihn, dass Hans Maria das Buch gab.
I believe him.DAT/*ACC that Hans Maria the book gave
I believe him that Hans gave Maria the book. Source: DAT/*ACC
b. Ich glaube die/#dem Behauptung, dass Hans Maria das Buch gab.
I believe the.ACC/#DAT claim that Hans Maria the book gave

I believe the claim that Hans gave Maria the book. Vessel/container: ACC/#DAT

The contrast between (9) and (10) follows immediately from the fact that German, unlike English, has Source Applicatives, as shown in (12):⁴

- (12) Djärv (2019: 236); from Schäfer (2008: 76)
 a. *John stole Mary a book. (Intended: John stole a book from Mary.)
 - b. Hans stahl Maria das Buch. Hans.NOM stole Maria.DAT the book.ACC 'Hans stole the book from Maria.'

What we can learn from Source DPs in German:

- 1. The fact that a Source DP and a Content DP cannot co-occur in English is not because they are competing for the same (type e) argument slot of *believe*, but follows from syntactic reasons (case).
- 2. The fact that the Source DP is always introduced by an external head in German (Appl^o, which assigns it Dative), suggests that the Source DP is not part of the lexical meaning of *believe*.
 - Thus, if we want to maintain a uniform analysis of the semantics of *believe* in English and German, we should assume that it is not part of the lexical meaning of *believe*, also in English.

Further motivation for not treating the Source DP as part of the lexical meaning of *believe* comes from the observation that while I believe you implies belief of some contextually salient proposition, I believe that p does not imply the existence of some contextually salient source of information, as shown in (13):

(13) a. I believe that [P Anna won]. $\Rightarrow \mathbf{x}_C$ is the source of p b. I believe you. \Rightarrow you are the source of \mathbf{p}_C

Finally, while the belief inference gets cancelled under negation, the Source-of-p inference *projects*; a behaviour typical of presuppositions (as opposed to truth-conditional meaning):

(14)	I don't believe you that $[P \text{ Anna won}]$.	\nleftrightarrow I believe that p
		\rightsquigarrow you are the source of p

Analytical conclusions: Source vs. Content DPs:

- Source DPs:
 - The German data (10)–(12) suggests that they must be licensed by an external (potentially case-licensing) head, and are not part of the lexical meaning/argument structure of *believe*.
 - The observations in (13)–(14) suggests that they when they are licensed, they are not part of the truth-conditional/at-issue content of their host-sentences, but behave like presuppositions.
- Content DPs:
 - Taken together, the DP-to-CP entailment and the fact that they get Accusative case (from *believe*) suggest that these DPs *do* saturate the internal argument slot of *believe*.

>This suggests different derivational paths for Source and Content DPs with *believe*.

⁴English only has Goal applicatives, e.g. John gave Mary a book.

3 Analysis: *believe*-verbs

I treat *believe* as selecting for complements of type $\langle st,t \rangle$, and declaratives and interrogatives as both being of this type, following e.g. Theiler et al. (2019).⁵

(15) $[[\text{believe}]]^w = [\lambda P_{\langle st,t \rangle} . [\lambda x_e. \exists p \in P[DOX_x^w \subseteq p]]]$

3.1 Deriving believe + CP

This allows *believe* to combine straightforwardly with declaratives:

(16) $[[\text{Sue believes that Anna won}]]^w = [\lambda P_{\langle st,t \rangle}, [\lambda x_e, \text{DOX}_x^w \in P]](\{\lambda w'.won(anna)(w')\})(\text{Sue})$ $= 1 \text{ in w iff } \exists p \in \{\lambda w'.won(anna)(w')\}[\text{DOX}_{sue}^w \subseteq p]$

3.2 Deriving believe + DP

3.2.1 believe + Content DP

Our analysis of Content DPs follows Uegaki (2016): to combine with Content DPs, I propose a (modified version of his) content retrieval function:

(17)
$$[[\text{CONT}\uparrow]]^w(\mathbf{x}) = \{\lambda \mathbf{w}'.\mathbf{w}' \in \text{CONT}_w(\mathbf{x})\}$$

$$\left\{ \begin{array}{l} defined \ if \ \text{CONT}_w(\mathbf{x}) = \text{CONT}_{w'}(\mathbf{x}) \\ \# \ otherwise \end{array} \right\}$$

(Assuming the Kratzer-Moulton [9, 10, 12, 13] analysis of content nominals, as individuals of type e: the intentional content of a contentful individual is derived via the CONT-function in (18-a):)

(18) a. $\operatorname{CONT}_w(\mathbf{x}) = \{\mathbf{w}': \mathbf{w}' \text{ is compatible with the intentional content determined by } \mathbf{x} \text{ in } \mathbf{w} \}$ b. $[[\text{the claim that Anna won}]]^w = \iota \mathbf{x} [\operatorname{claim}_w(\mathbf{x}) \& \operatorname{CONT}_w(\mathbf{x}) = \{\mathbf{w}': \text{ Anna won in } \mathbf{w}'\}]$

This allows believe to combine directly with the content DP; thus accounting for the DP-to-CP entailment.

3.2.2 *believe* + Source DP

In German, the Source DP is introduced by a Source $Appl^{o}$ head. In English, this is not an option. We have also seen that whatever the nature of this head, it does not assign case.

To account for the interpretation of English Source DPs, I propose that they are licensed by the Assert operator A() from Farkas and Bruce (2010) (merged in the embedded CP):

(Farkas and Bruce 2010: 92)

- $A(S[D], a, K_i) = K_o \text{ such that}$ a. $DC_{a,o} = DC_{a,i} \cup \{p\}$
 - b. $T_o = push(\langle S[D]; \{p\} \rangle, T_i)$
 - c. $ps_o = ps_i \overline{\cup} \{p\}$

A() takes as its input a declarative sentence S[D] with denotation {p}, an author a, and a context K_i , and is a function from input contexts K_i to output contexts K_o s.t. S[D] and {p} are at the top of the conversational Table and p is part of a's public discourse commitments (DC_a) .

Two levels of composition:

(19)

- At the level of truth-conditional/*at-issue* meaning, *believe* combines directly with the denotation of the embedded clause:
- (20) [[Sue believes Mary that Anna won]]^w = 1 in w iff $\exists p \in \{\lambda w'.won(anna)(w')\}[DOX_{sue}^{w} \subseteq p]$

⁵Assuming that the incompatibility of *believe*-verbs with questions follows from independent properties of their meaning; see Theiler et al. (2019); not from their selectional requirements, as on Uegaki's (2016) analysis.

- At the level of non-truth-conditional/not at-issue meaning, A() takes the embedded clause as its S[D] argument, and the Source DP as its author argument:
- (21) $\begin{bmatrix} [\text{Sue believes Mary that Anna won}]^w = 1 \text{ in w iff } \exists p \in \{\lambda w'.won(anna)(w')\} [\text{DOX}_{sue}^w \subseteq p] \\ \begin{cases} defined \ if \\ a. \ DC_{mary,o} = DC_{mary,i} \cup \{\lambda w'.won(anna)(w')\} \\ b. \ T_o = push(<`\text{Anna won'}; \ \{\lambda w'.won(anna)(w')\}>, \ T_i) \\ c. \ ps_o = ps_i \ \overline{\cup} \ \{\lambda w'.won(anna)(w')\} \\ \# \ otherwise \end{cases}$

LF to illustrate:



Thus, in English (unlike in German), the Source DP is pragmatically, but not syntactically, licensed, and is not part of the truth-conditional content of their host-sentences.

In summary, this analysis captures:

- the interpretation of the Source DP as having claimed or proffered p;
- the not *at-issue* status of this inference;
- the fact that English Source DPs don't get case, and thus the contrast between English (9) and German (10).

And allows us to make new predictions!

- Given that the A() operator should only be able to anchor to one author, this correctly predicts that Source DPs should be in complementary distribution with complex speaker assertions.⁶
- (23)a. I believe that Anna won. / No, she didn't!CP-complement onlyb. I believe you that Anna won. / #No, she didn't!Source DP + CP
 - As shown in (22), the Source DP effectively blocks the A() operator from anchoring to the speaker.

4 know: polysemy?

At the core of the observations in Sections 2.1-2.2 is that DP-complements of *know*-verbs trigger an acquaintance reading of the verb, s.t. the DPs is interpreted as an OBJECT OF ACQUAINTANCE:

- (24) a. Sue {knows, discovered} [$_{DP}$ Anna].
 - b. Sue {knows, discovered} $[_{DP}$ the rumor that Anna is to blame].

A natural way to capture this is to say that know-verbs are ambiguous between a propositional verb and an acquaintance verb (e.g. King 2002, Moltmann 2013, Uegaki 2016, for the entailment contrast):⁷

⁶The argument that complex speaker assertions involve the A()-operator comes from Djärv (2020) and Woods (2016). As Djärv (2020) points out, the assumption that the A() operator operator operates only at the not *at-issue* level is a necessary assumption for applying the table model to complex *speaker*-assertions like (23-a) to begin with, given that these are not interpreted semantically as "I believe that I assert p". Thanks to Maribel Romero, p.c. for raising this point.

⁷As Uegaki (2016) correctly points out, this is not enough: if we assumed (i) that $know_{EPIST}$ and believe both select for propositional arguments (as in (1)–(2)), and (ii) that there exists a mechanism for extracting propositions from the content

- (25)Polysemy of *know* (to be rejected):
 - $$\begin{split} & [[\text{know}_{EPIST}]]^w = [\lambda \mathbf{p}_{<st>}.[\lambda \mathbf{x}_e: \mathbf{p}(\mathbf{w}) = 1.\text{EPIST}_x^w \subseteq \mathbf{p}]] \\ & [[\text{know}_{AQ}]]^w = [\lambda \mathbf{y}_e.[\lambda \mathbf{x}_e.\text{acquainted}_w(\mathbf{x})(\mathbf{y})]] \end{split}$$
 a.
 - b.

Intuitive support for this idea comes from the fact that languages like German, French, and Swedish use different forms for these two meanings:

(26)	a.	Sara vet att Lisa vann. Sara knows that Lisa won	
	h	Sara knows that Lisa won.	Propositional know (Ger. wissen, Fr. savoir)
	D.	Sara knows Lisa	
		Sarah knows Lisa.	Aquaintance-know (Ger. kennen, Fr. connaitre)

5 Problems with polysemy

1. A polysemy-based approach does not capture the strong intuition that the CP-taking and DP-taking versions of discover, resent, like, fear, imagine, notice, etc. share a semantic core. If they were truly differnt lexical items, there would be nothing to guarantee this. On the approach offered here $(\S 6)$, the two cases involve the same lexical root; thus automatically capturing this shared semantic core.

2. While (26) seems to support the claim that the English verb know is polysemous between $know_{AQ}$ and $know_{EPIST}$ as in (25), the acquaintance-reading of DPs doesn't just arise with know, but with essentially all factives and responsives (e.g. notice, discover, see, hear, like, resent, appreciate, mention, predict, report, fear, explain, etc.).

(27)	a.	Sara {upptäckte, märkte, hörde, nämnde} att Lisa vann.	
		Sara {discovered, noticed, heared, mentioned} that Lisa won	
		Sara {discovered, noticed, heared, mentioned} that Lisa won.	Propositional V
	b.	Sara {upptäckte, märkte, hörde, nämnde} Lisa.	
		Sara {discovered, noticed, heared, mentioned} Lisa	
		Sarah {discovered, noticed, heared, mentioned} Lisa.	Aquaintance V

- An polysemy-based account would therefore have to posit lexical ambiguity for all of these verbs.
- Besides know, I am not aware of any language that systematically distinguishes between CPselecting forms and DP-selecting forms of these attitude verbs.

3. At closer inspection, the Swedish know-data actually seems to speak against polysemy:

- In more complex predicates, känna (by hypothesis $know_{AQ}$; (25-b), <e,et>) can combine with both individuals (e) and questions $(\langle st,t \rangle)$.
- Jag känner till $[_{DP}$ Anna $]/[_Q$ vem som gjorde vad]. (28)a. Anna/ who that did Ι know towhat I'm aware of Anna/who did what. (Implies acquaintance with Anna.)

I reject the polysemy analysis and propose instead a decompositional analysis of know-verbs, whereby the DP and CP-selecting forms of these verbs are derivationally related.

DPs they are embedded in, then we would predict, wrongly, that both know and believe should license the entailment. The same reasoning applies to the licensing of the Source DPs. Hence, Uegaki (2016) proposes that while believe selects for propositions (<st>), know is polysemous between know_{AQ} (25-b), which selects for individuals, and know_{EPIST}, which selects for questions (<st,t>). This account is thus able to capture both the entailment contrast, as well the fact that *believe* and know differ wrt. to their ability to combine with interrogatives and declaratives. Here, however, for reasons given in Section 5, I reject polysemy and propose instead that $know_{EPIST}$ and $know_{AQ}$ are derivationally related.

6 Analysis: know-verbs

I propose that know CP (know_{EPIST}) and know DP (know_{AQ}) both involve the root \sqrt{AQ} (<e,<et>>):⁸

(29) $[[\sqrt{\mathrm{AQ}}]]^w = [\lambda y_e \cdot [\lambda x_e \cdot \mathrm{AQ}_w(\mathbf{x})(\mathbf{y})]]]$

The acquaintance reading of know arises if an individual saturates the internal argument slot of \sqrt{AQ} :

- $(30) \qquad [[know_{AQ}]]^w = [[\sqrt{AQ}]]^w$
- (31) a. [[Sue knows Anna]]^w = [[\sqrt{AQ}]]^w(Anna)(Sue) = 1 iff AQ_w(sue)(anna)
 - b. [[Sue knows the claim that Anna won]]^w = [[\sqrt{AQ}]]^w([[(18-b)]])(Sue) = 1 iff $AQ_w(sue)(\iota x[claim_w(x) \& CONT_w(x) = \{w': Anna won in w'\}])$

(Like polysemy) this correctly predicts:

- ✓ No Source-of-p reading in (31-a).
- ✓ No DP-to-CP entailment in (31-b).

Epistemic, CP-selecting, *know*, I propose, involves an additional head, EPIST (<<e,<et>>,<<st,t>,<et>>>):

(32) Minimal denotation/template for epistemic relations:⁹ $[[EPIST]]^{w} = [\lambda R_{\langle e, \langle et \rangle \rangle} . [\lambda P_{\langle st, t \rangle} . [\lambda x_{e}. \exists s \exists p \in P[[s \text{ is a situation exemplifying } p \land R(s)(x)]]]]$

 $know_{EPIST}$ is derived by EPIST taking \sqrt{AQ} as its first (R) argument, as shown in (33):¹⁰

- this causes the type e argument slots of \sqrt{AQ} to be saturated with a situation pronoun s, the *res* (a particular of the more general type e), and an individual variable x.
- the resulting predicate $know_{EPIST}$ (<<st,t>,<et>>) (33) thus states that there exists a situation s and a proposition $p_{<st>}$ in $P_{<st,t>}$, such that s exemplifies p, and x is acquainted with s.
- (33) $[[know_{EPIST}]]^{w} = [[EPIST]]^{w} ([[\sqrt{AQ}]]^{w}) =$ $[\lambda P_{\langle st,t \rangle} . [\lambda x_{e} . \exists s \exists p \in P[[s \text{ is a situation exemplifying } p \land AQ(x)(s)]]]$

The final meaning of know CP is given in (34), with declarative and interrogative complements:

- (34) a. [[Sue knows that Anna won]]^w = 1 iff $\exists s \exists p \in \{\lambda w'.won(anna)(w')\}$ [[s is a situation exemplifying $p \land AQ_w(sue)(s)$]]]]
 - b. [[Sue knows whether Anna won]]^w = 1 iff $\exists s \exists p \in {\lambda w'.won(a)(w'), \lambda w'.\neg won(a)(w')}$ [[s is a situation exemplifying $p \land AQ_w(sue)(s)$]]]]

LFs to illustrate:



⁸For other *know*-verbs like *discover*, I assume that there are different *flavours* of \sqrt{AQ} . The key aspect of the current proposal is that these verbs too are semantically complex in the same way as proposed here for *know*.

⁹Further conditions must be included to capture inferences about belief, exhaustivity, etc.

¹⁰In the case of $know_{AQ}$ vs. $know_{EPIST}$ in German and Swedish, etc., I assume contextually triggered allomorphy, such that *veta/vissen* is triggered in the context of the EPIST head, whereas $k\ddot{a}nna/kennen$ is the default form of the verb.

Like polysemy-based accounts, this analysis captures the above observations about *know DP*:

• The obligatory acquaintance-reading: no entailment and no Source-of-p reading.

But we also avoid the challenges faced by polysemy accounts:

- 1. The fact that the DP and CP selecting forms of *know*-verbs share a semantic core. I propose that this shared core is *acquaintance*.
- 2. The fact that DP and CP selecting forms of know-verbs in general share the same form.
- 3. The fact that Sw. känna (by hypothesis, $know_{AQ}$) can occur with questions in more complex forms.

We are also able to account for a separate observation about know vs. believe (Djärv 2019: 246):

- On our analysis, every state of knowing p (unlike a state of believing p) is predicated on an event of being acquainted with a situation s which in turn justifies/motivates knowing p;
- in (36), how is modifying the acquaintance event in know; in believe, there is no such event.
- (36) a. How/#why do you know that Anna won? ≈ in what manner did you come to know p?
 b. Why/#how do you believe that Anna won? ≈ what is the reason for believing p?

Finally, a note on related work on factivity...

- The current approach shares with Bondarenko (2019) (on Barguzin Buryat) and Özyildiz (2017) (on Turkish) the idea from Kratzer (2002) that acquaintance with some situation, the *res*, plays a role in deriving factivity.¹¹
- Note, however, that these authors deal with very different kind of data: alternations between factive nominalized clauses and non-factive CPs.
- The accounts are thus not straightforwardly comparable, given that what I propose here is a systematic derivational relationship between *know DP* and (factive) *know CP*, where both involve acquaintance either with a regular individual (with DPs) or with a situation, the *res* (with CPs).
 - Note also that this account has potential to extend to non-factives like *fear*. If so, we are not *deriving* factivity *per se*, but rather capturing *part* of the core meaning of factive verbs.

7 Summary

I have (i) offered new observations about DP-complements of *know* vs. *believe*-verbs, (ii) related them to previous observations about content DPs, and (iii) argued against a polysemy-based approach to *know*.

I proposed instead that *know* and *believe* differ fundamentally at the level of argument-structure and internal composition, and thus combine with DPs via different routes:

- I proposed a derivational approach to *know*-verbs that avoids polysemy: *know*-verbs always combine with individuals as part of their argument structure (with both DP and CP complements);
- *believe*-verbs are fundamentally Hintikkan: they combine only with propositions. To combine with DPs, they thus require either type-shifting (for Content DPs), or an external licensing head (for Source DPs: the A() operator in English and Appl^o in German).

While *believe*-verbs invariably describe relations to propositions, *know*-verbs describe complex relations, anchored in the attitude holder's acquaintance with abstract or concrete individuals in the world.

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¹¹According to Kratzer (2002): "S knows p if and only if S believes p de re of some fact exemplifying p." (p. 657). The denotation assigned to $know_{EPIST}$ (33) captures part of that statement; see footnote 9.

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