The syntax of complementizers: a revised version

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[joint work with Rita Manzini]

1. Setting the scene

A clarification: why 'a revised version'

Roussou (1994), *The syntax of complementisers* – the investigation of three basic constructions:

- (a) factive complements and extraction, Greek *oti* vs factive *pu* a definite C
- (b) that-complements and subject extraction, an agreeing null C
- (c) *na*-complements in Greek and the subject dependency (control vs obviation)
- Assumptions back then:
- -- that is an expletive element (Lasnik & Saito 1984, Law 1991) complementizers in general are expletives
- -- But in some cases, as in factives, they may bear features for familiarity (Hegarty 1992) or definiteness, or license a definite operator (Melvold 1991) [that-factives are weak islands, pufactives are strong islands]

Some questions

- a) What is a complement clause?
- b) What is a complementizer?
- c) What is the role of the complementizer?
- d) How is complementation achieved if there is no complementizer?

Some potential answers to the questions above

- a) complement clauses are nominal (the traditional grammarian view): mainly objects, but also subjects; it is a complement or a relative (?)
- b) not so clear: the lexical item that introduces a clause or the syntactic head C (Bresnan 1972) in the latter reading, C can be realized by a variety of elements including conjunctions (*that*, *oti*, *pu*, *che*, etc.), prepositions (*for*, *di*, etc.), verbs (V2 in German, residual V2 in English), or just abstract features with no PF realization;
- c) the complementizer facilitates embedding, or turns the clause to an argument (Kayne 1982);
- d) it depends on how we define complementizers, what the selecting predicate is, what the inflectional properties of the embedded clause are, ...

Outline of the talk

- Pronouns as complementizers: pronominal variation and selection by the predicate
- The structure of complement clauses and relativization
- The pronominal series as complementizers
- Loose ends
- Conclusions

2. Pronouns as complementizers

- (1) English
 - a. I think *that* John has bought *that* book
 - b. I read the book *that* John has bought _

(1a): first *that* is a complementizer (phonologically reduced; Radford 2004); second instance is a (distal) demonstrative; two separate entries?

(1b): *that* is a complementizer functioning as a relativizer or a (relative) pronoun (van der Auwera 1985); two or three entries?

- (2) Italian (and Romance in general)
 - a. So *che* fai questo know-1s that do-2s this 'I know *that* you do this'
 - b. Il lavoro *che* fai è noto the work that do-2s is known 'The work *that* you do is well-known'
 - c. Che fai?
 what do-1s?
 'What are you doing?'
- (2a): che is a complementizer
- (2b): *che* is a complementizer/relativizer
- (2c): che is a wh-pronoun 'what'; two or three entries then?

A more complex picture:

- (3) Greek (Christidis 1982, Roussou 1994, Varlokosta 1994)
 - a. Nomizo *oti/pos* eyrapse ena vivlio think-1s that wrote-3s a book 'I think *that* she wrote a book'
 - b. Xerome *pu* eyrapse ena vivlio be.glad-1s that wrote-3s a book 'I'm glad that she wrote a book'
 - c. Ayorasa to vivlio pu/*oti/*pos eyrapse bought-1s the book that wrote-3s 'I bought the book that she wrote'
- (3a): *oti* and *pos* are complementizers the selecting verb is epistemic
- (3b): pu is a complementizer the selecting verb is factive emotive
- (3c): pu is a complementizer functioning as a relativizer; oti/pos are excluded
- (4) a. Arxiothetisa *oti/*pu/*pos* eyrapse [Free relative] filed-1s what wrote-3s 'I filed what she wrote'
 - b. *Pos* arxiothetises to vivlio? how filed-2s the book 'How did you file the book?'
 - c. *Pu* arxiothetises to vivlio? where filed-2s the book 'Where did you file the book?'

(4a): *oti* as a free relative pronoun (o,ti = the what) – inanimate referent

(4b): pos as a wh-pronoun 'how'

(4c): pu as a wh-pronoun 'where' (locative or dative; Michelioudakis 2012 on the latter)

-- How many *oti*? How many *pu*? How many *pos*?

Even English is more complex: *how* is a wh-pronoun, but may also be a complementizer (Legate 2010, Nye 2013, 2018):

- (5) a. *How* did you fix it?
 - b. She told me *how* she hadn't seen her husband for 3 months
 - c. John forgot *how* Mary was never late (Nye 2013: 122)

Nye (2013): selection of *how* relates to factive (but unlike Greek *pu*, *how* is not selected by factive emotive predicates).

Further variation: Central and Southern Italian varieties (see Calabrese 1993, Ledgeway 2003, 205, Manzini & Savoia 2005, 2011)

- (6) Guglionesi
 - a. m onno dotto ka ve kre to.me have-3p said that come-3s tomorrow 'They told me that he will come tomorrow'
 - b. vujje ke vi kre want-1s that come-2s tomorrow 'I want you to come tomorrow'
 - c. kə ffi?
 what do-2s
 'What are you doing?'
 - d. ε kkullə kə vvadə sɛmprə is that that see-1s always 'He is the one that I see all the time'
- -- A double complementizer system depending on mood/mood particle: Romanian că vs ca+să; Albanian se vs $q\ddot{e} + t\ddot{e}$

Some observations

- (i) the resemblance between pronouns (demonstratives, interrogatives/relatives) and complementizers is attested cross-linguistically and cannot be treated as accidental (e.g., Manzini & Savoia 2003, Roussou 2010, Kayne 2010, Baunaz & Lander 2017, a.o.),
- (ii) variation (here restricted to declarative complementizers) can take different forms and be sensitive to different properties

Another way to proceed

- Abandon the traditional approach that treats this coincidence as accidental (or at most traced back to a historical change) and take the view that complementizers are pronouns.
- Extending this further:
- (i) complement clauses are embedded under a pronoun; there is variation: English has the d-system, Italian the wh-/relative system, Greek possibly both, ...
- (ii) complement clauses have whatever features are associated with the pronoun that introduces them; but then we need to explain how a wh-pronoun qualifies as a declarative (-wh)

complementizer (Italian, Greek, ...)

(iii) 'complementizer' is functionally and not formally defined; that means that there is no C head, but there could still be a left periphery. This has all sorts of non-trivial implications. [Cartographic approaches, see Rizzi 2015]

Note: I keep the term 'complementizer' for descriptive purposes

3. Complement clauses or relatives?

[Qa: What is a complement clause?]

3.1 Some preliminaries

Let's start with a related issue: complementizers in relative clauses

- (7) a. I read the book *that* John has bought _ [(1b)]
 - b. Il lavoro *che* fai è noto [(2b)] the work that do-2s is known

'The work that you do is well-known'

- c. ε kkullə $k\partial$ vvadə sɛmprə [(6d)]
 - is that that see-1s always

'He is the one that I see all the time'

Kayne (1976): French *que* in relative clauses is a complementizer and not a relative pronoun Sportiche (2011): French *que* (and also *qui*) in relative clauses is a weak pronoun Kato & Nunes (2009): Brazilian Portuguese *que* in relative clauses is a pronoun Rinke & Aßmann (2017): *ditto* for European Portuguese Poletto & Sanfelici (2018): *ditto* for Italian varieties

- 'Complementizer' as Relativizer = a pronoun and not a complementizer (demonstrative *that*, interrogative *que/qui*, *che*, etc.); it binds (or somehow participates in binding) an individual variable inside the relative clause [implementation depending on the assumptions regarding the analysis of relatives, i.e., modification, raising, matching].
- What about complementizers in complement clauses?
- (i) Arsenijević (2009): Finite Complement Clauses (FCC) modify a nominal head incorporated in the selecting predicate.
- (8) a. The claim that John kissed Mary
 - b. $[DP \text{ the claim } [ForceP [Var]] [ForceP \text{ that}_{[\Lambda]} [IP \text{ John kissed Mary}]]]$

The complement clause is analyzed as a restrictive relative clause – the head of the relative is either overtly expressed as in (8a) or incorporated/null as in *John claimed that he had kissed Mary*. Still, *that* could be a complementizer and not a pronoun

- (ii) Kayne (2010): that is a pronoun, the demonstrative that
- (iii) Moulton (2009, 2015), after Kratzer (2006): the *that*-clause modifies the Content argument of the propositional attitude verb. Still, *that* could be a complementizer (as in predicative relative clauses).
- Another reasoning: (a) complement clauses are (hidden) relatives, (b) the relativizer is a pronoun and not a complementizer. What we expect: (c) the same element in complement clauses is also a pronoun (on the latter see Manzini & Savoia 2003 for an early account).

• If the pronoun *that/che* etc. binds an individual variable in relatives (or in interrogatives or in demonstratives), what does it bind in complement clauses? Manzini & Savoia (2003, 2011): the pronoun ranges over situations/possible worlds (also Roussou 2010).

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(9) a. [che/that x 	 [I do x]]
b. [che/that x 	 [x: I do this]]
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• Are complement clauses some version of restrictive relative clauses, modifying a (null) head?

Kiparsky & Kiparsky (1971) on factive complements:

(10) I regret that I left = I regret the fact that I left

[See Kratzer (2006) on the CONTENT argument; Arsenijević (2009) on the incorporated nominal; variations on the structure of factives as DPs, e.g., Kastner 2015, a.o.]

• Back to complementizer variation

Language	Pronoun (C)	Declarative	Restrictive Relative
English	that	OK	OK
	how	OK (factive)	*
Italian	che	OK	OK
Guglionesi	ka	OK	*
	kə	OK	OK
Greek	oti	OK	*
	pos	OK	*
	pu	OK (factive)	OK

Table 1 Pronominal complementizers in restrictive relative clauses

- -- If complement clauses are restrictive relatives, we would expect the same element (*that*, *che*) throughout.
- -- But Table 1 shows variation: Greek pu vs Italian che/English that vs Guglionesi ka
- (11) John forgot [NP [how Mary was never late]]
 John believes [NP [that Mary is never late]] (!) Why not the same?
- (i) The choice of *how* vs *that*, or *pu* vs *oti/pos* is sensitive to selection. Why can we only see this variation with a null nominal?
- (ii) Selection is not a property of restrictive relative clauses
- But selection can (somehow) interfere in another type of relative clauses...
- 3.2 Complement clauses as free relatives

Manzini (2014): complement clauses are closer to free relatives

(12) a. John ate [what I had cooked _].b. John ate [the thing (food) [that I had cooked _]

Greek *oti* is possible in free relatives: *oti* = 'what' in free relatives

- The internal structure of free relative pronouns (Greek): prefix o+wh-pronoun: o-pjos, o-ti, o-pu
- English: free relative pronouns the same as wh-pronouns; cf. oti vs ti in Greek
- Italian: quello che 'which that', but otherwise free relative pronouns are the wh-ones
- Two basic trends in the structure of free relatives: a) headless (Bresnan & Grimshaw 1978),
 b) headed (Groos & van Riemsdijk 1981)

[see van Riemsdijk 2006 for an overview; Gračanin-Yuksek 2008 for a combined account]

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(13) a. [NP \text{ wh } [CP \dots pro]] (CP = S')
b. [NP \varnothing [CP \text{ wh } C [IP \dots \text{ wh }]]]
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(13b) faces the problems already pointed out, regarding complementizer selection.

(13a) takes the pronoun itself as the head of the relative clause; Bresnan & Grimshaw (1978): free relatives distribute like NPs, APs, or like PPs, AdvPs when adjuncts – 'category matching').

Case matching or case attraction is possible: accusative instead of nominative (*opjon* vs *opjos*) [same in German]

(14) Sinandisa *opjon* me simpathi met-1s who.ACC me.ACC like-3s 'I met whoever/the one that likes me'

In current terms: the pronoun merges in the object (argument) position of the main verb (it can be sensitive to selection by the verb; cf. 'category matching').

- Where does this take us with respect to complementation? The pronoun ('complementizer')
 merges with the matrix predicate, along with the clause it embeds (for the reverse order see
 Angelopoulos 2019)
- (15) I believe [that [XP] this author published a monograph]]
- (i) The predicate selects the pronominal complementizer ('category matching').
- (ii) The pronoun saturates the CONTENT argument

4. The pronominal series

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[Ob: What is a complementizer?]
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Complementizers = pronouns

Complement clauses = projections of these pronouns

A complement clause headed by that is a thatP, by che is a cheP, etc.

- (a) d-pronouns (English, Germanic: that, $da\beta$, possibly Greek oti) realizations of D
- (b) wh-pronouns (Italian che, English how, Greek pos/pu) realizations of Q (Quantifier) (see Manzini & Savoia 2011, Manzini 2014)
- (16) a. [DP that [XP [IP did this]]]
 - b. [OP che [XP [IP fai questo]]]
 - c. [DP oti [XP [IP ekana afto]]]
 - d. [QP pos [XP [IP ekana afto]]

- -- The D vs Q status could possibly give rise to further microvariation [to be explored]
- -- Poletto & Sanfelici (2020) on complement clauses as relatives (matching analysis): *che* is the external head (that is, it raises to the external head), while $da\beta$ in German realizes the internal head.
- -- In standard (C) approaches complementizers may realize different features and occupy different positions, e.g., Force or Fine in carthographic approaches:
- (17) [Force that [... [Fin (that) [IP ...]]]] (Rizzi 1997)
- -- Abstract features which do not necessarily match their internal structure

(At least) two remaining questions

- Q1: the pronominal complementizers of the Q (wh-) series also have an interrogative reading, which is incompatible with a declarative context. Two options:
- (a) a double entry: we've ruled it out
- (b) the wh-feature is not inherent

Under (b), these pronouns are indefinites construed as wh- in the scope of a +Q operator, i.e., when they Agree with +Q (Manzini 2010, Roussou 2020).

• Indefinites/interrogatives in Ancient Indo-European languages: Classical Greek *tis/ti*, Latin *quis/quod*: they are construed as either indefinites (unaccented) or interrogatives (preposed, accented) [Baunaz 2015]

Recall: Modern Greek interrogative pronouns are the basis for the formation of other pronouns:

'Interrogative'	Existential	Free relative	Relative
pjos	ka-pjos	o-pjos	o o'pios
ti	ka-ti	o-ti	to o'pio
pu	ka-pu	o-pu	o-pu
pos	ka-pos	o-pos	o-pos

Table 2 Some pronominal series in Modern Greek

- A possible modification of (16)
- (18) a. [DP [NP che [XP [IP fai questo]]]]
 - b. [DP [NP pos [XP [IP ekana afto]]
- -- A uniform label (D) to all elements that function as complementizers, attributing eventual differences to their internal syntactic structure as realizing D or only N.

Q2: as pronouns these elements may be sensitive to features like [+/-human], [manner], or [location]: *how* and Greek *pos* are manner adverbials, but they lack this reading as declarative complementizers; *pu* is a locative/dative in interrogatives, but no such interpretation arises in interrogatives or relatives.

- (19) Paratirisa POS jirizi o troxos noticed-1s how spin-3s the wheel
 - 'I noticed how the wheel spins'
 - a. paratirisa [pos jirizi o troxos pos] [Internal merge, two copies, stressed]
 - b. paratirisa [pos [jirizi o troxos] [External merge, one copy, unstressed]

- A generalization: once a subclass of pronouns acquires the function of a complementizer (propositional operator), they retain their pronominal (wh-) status, but other properties that lexically distinguish them within their paradigm may not hold.
- -- Italian *che* as a pronoun inflects for case, e.g., *cui*; but it behaves differently in the two uses, as with prepositions (inflected vs uninflected):
- (20) a. La crisi dopo cui mi sono dimesso ... the crisis after which myself have-1s resigned 'The crisis after which I resigned ...'
 - b. Dopo che mi sono dimesso .. after that myself have-1s resigned 'After I resigned ...'

4. Loose ends

• The pronoun as complementizer account can give a different perspective to old issues, but also open new ones.

(a) What is in the left periphery of the clause?

- -- The clause is not defined as the projection of the 'complementizer' (i.e., a CP)
- -- Force and Fin in Rizzi's (1997) terms will have to be construed as V-related (scope) positions
- -- Implications for free relatives vs pseudo-interrogatives vs true embedded interrogatives

(21) Greek

- a. ksero opjon prospathi na fiji know-1s who.ACC try-3s PRT leave-3s 'I know whoever tries to leave'
- b. ksero pjos prospathi na fiji know-1s who.NOM try-3s PRT leave-3s 'I know who is trying to leave'
- c. anarotjeme pjos prospathi NA fiji wonder-1s who.NOM try-3s prt leave-3s
 - 'I wonder who is trying to leave'

(b) What about complementizer deletion (drop)?

- -- No PF deletion
- -- Embedding without the mediation of a pronominal
- (22) a. English

John said/believed [Mary had left]

b. *Greek*

O Janis lei/nomizi [efije i Maria] the John say-3s/think-3s left-3s the Mary 'John says/thinks Mary left'

c. German

Er glaubt [diesenFilm haben die Kinder gesehen] he think-3s this film have-3p the children seen 'He thinks the children have seen this film' (Vikner 1995)

-- Why not PF-deletion? There are syntactic constraints: bridge verbs, tense or mood selection (Giorgi 2010), choice of the complementizer, ...Koopman (2000): the equivalent of restructuring in finite contexts

(c) What about extraposition/clause prolepsis?

- (23) *Greek and English*
 - a. to#pistevo *oti/pos* efije it believe-1s that left-3s 'I believe it that he left'
 - b. ton#pistevo ton Jani him believe-1s the John 'I (do) believe John'
- -- The Greek example is an instance of clitic doubling: whatever account holds for DP clitic doubling could extend to clausal doubling.
- -- English has no clitics and no clitic doubling, so a different account is required; (perhaps) closer to a correlative construction

(24) [believe *it*] [that John left]

There is an anaphoric dependency between it and the that-clause.

5. Concluding remarks

- The complementizers under consideration are pronouns we dispense with the syntactic category C
- Complement clauses are headed by a pronominal selected by the matrix predicate (s-selection, while c-selection does not seem to play a role)
- Complementation as an instance of nominalization
- Complement clauses like free relatives
- Different features associated with the pronouns as complementizers can perhaps give us some insight regarding further variation

Limitations: the set of data under consideration has been restricted to declarative complement clauses (of the Indo-European family)

Implications: for a wide class of phenomena and types of complementation

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